

# The QCD Phase Diagram and Beam Energy Scan Physics: A Theory Overview

Agnieszka Sorensen

Facility for Rare Isotope Beams  
Michigan State University



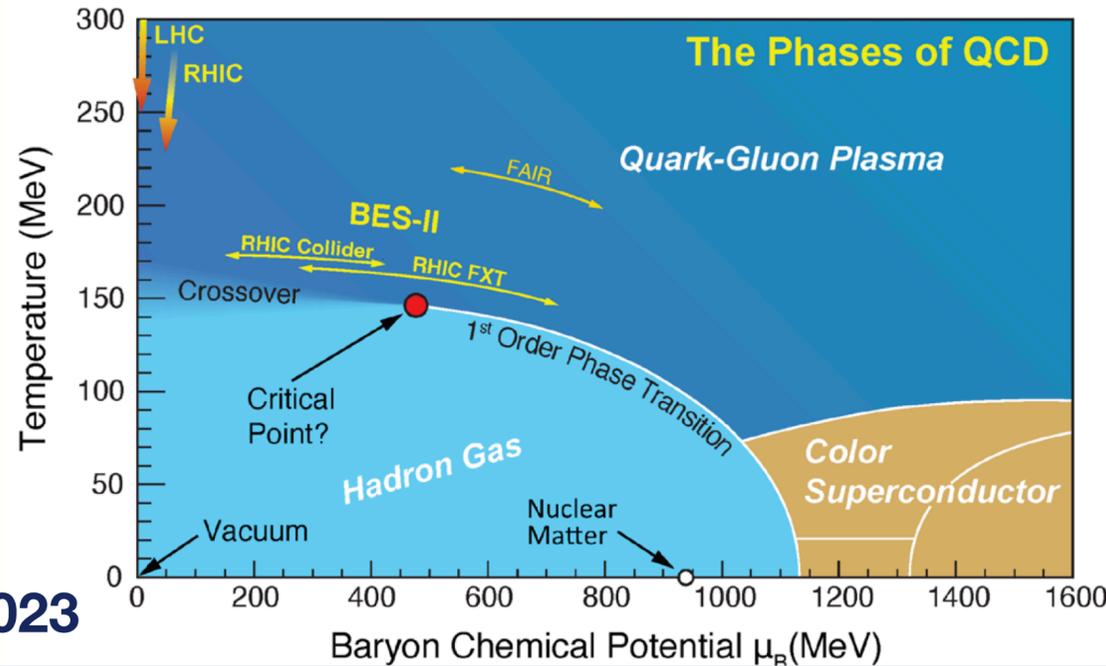
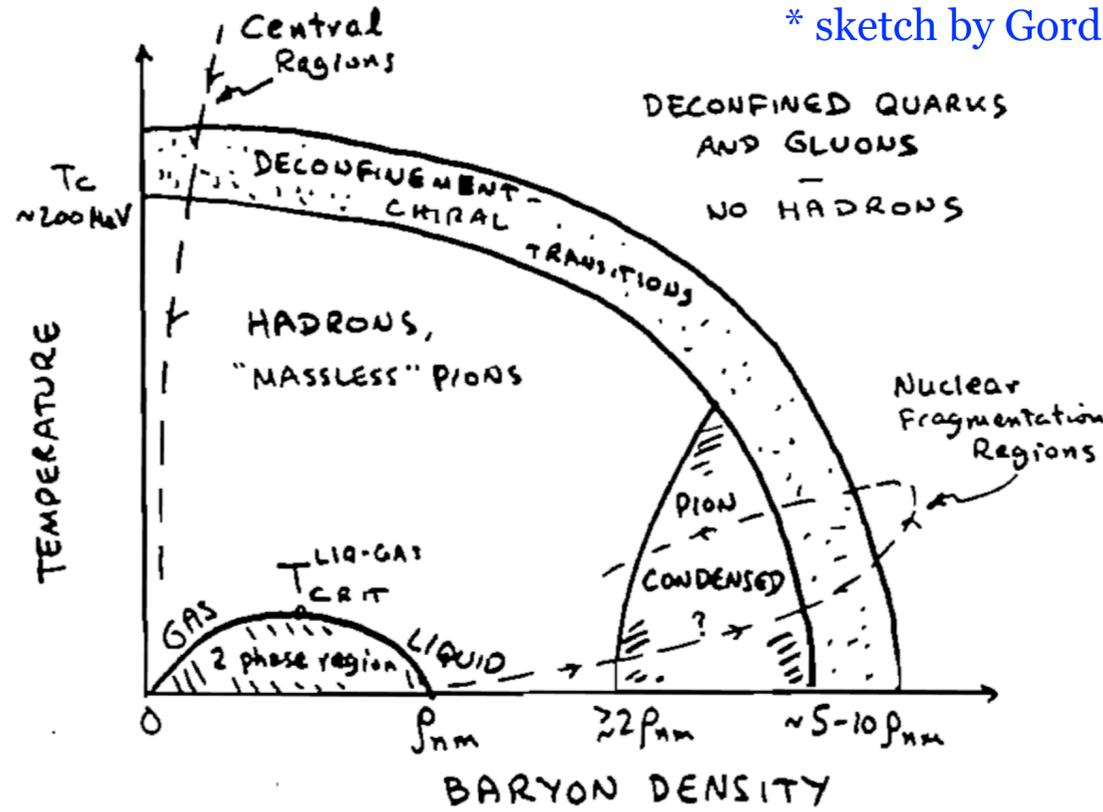
October 10, 2024

2024 Fall Meeting of the APS Division of Nuclear Physics

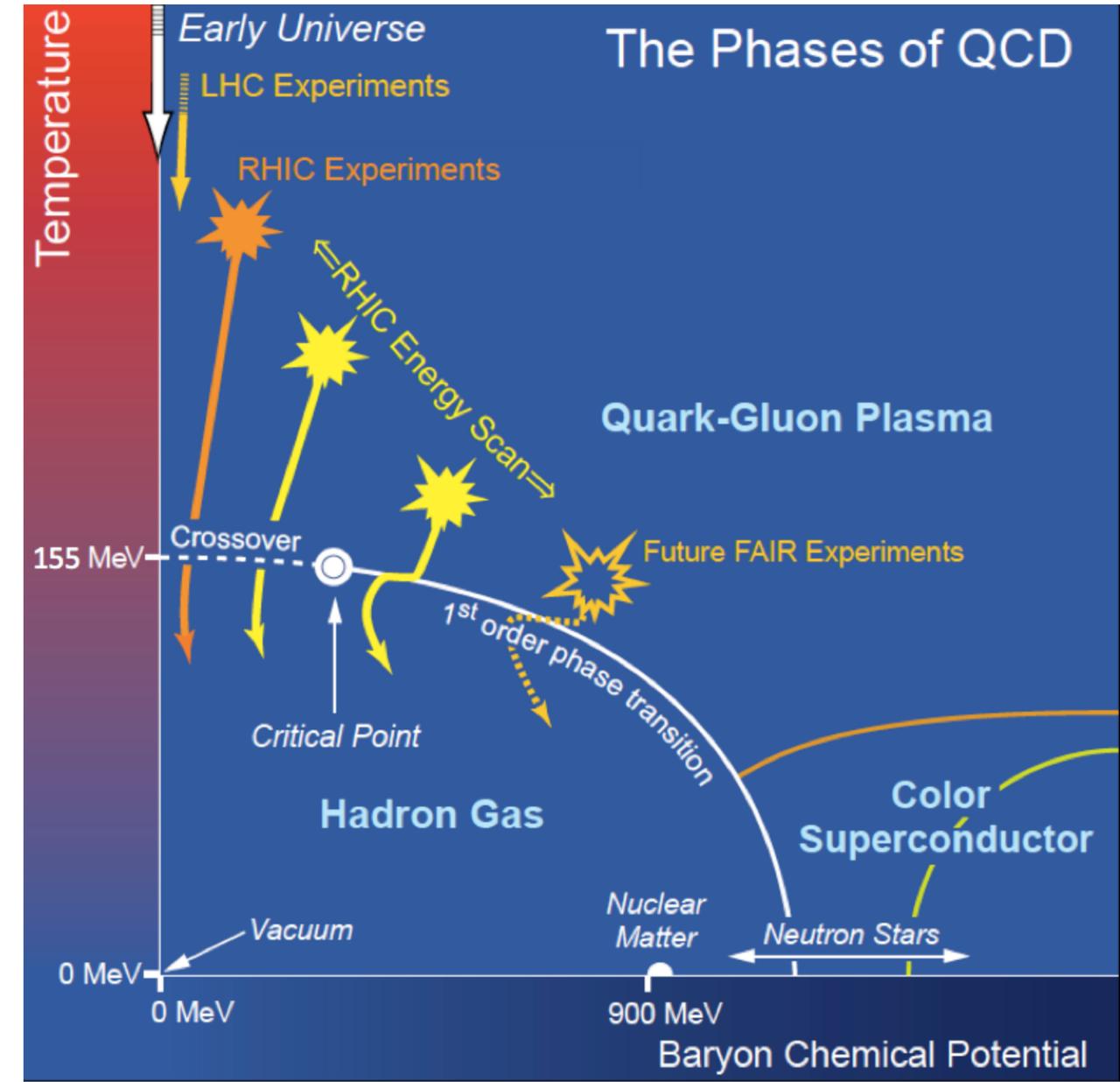
# The idea to probe the QCD phase diagram with heavy-ions is not new...

## PHASE DIAGRAM OF NUCLEAR MATTER\*

\* sketch by Gordon Baym, LRP 1983



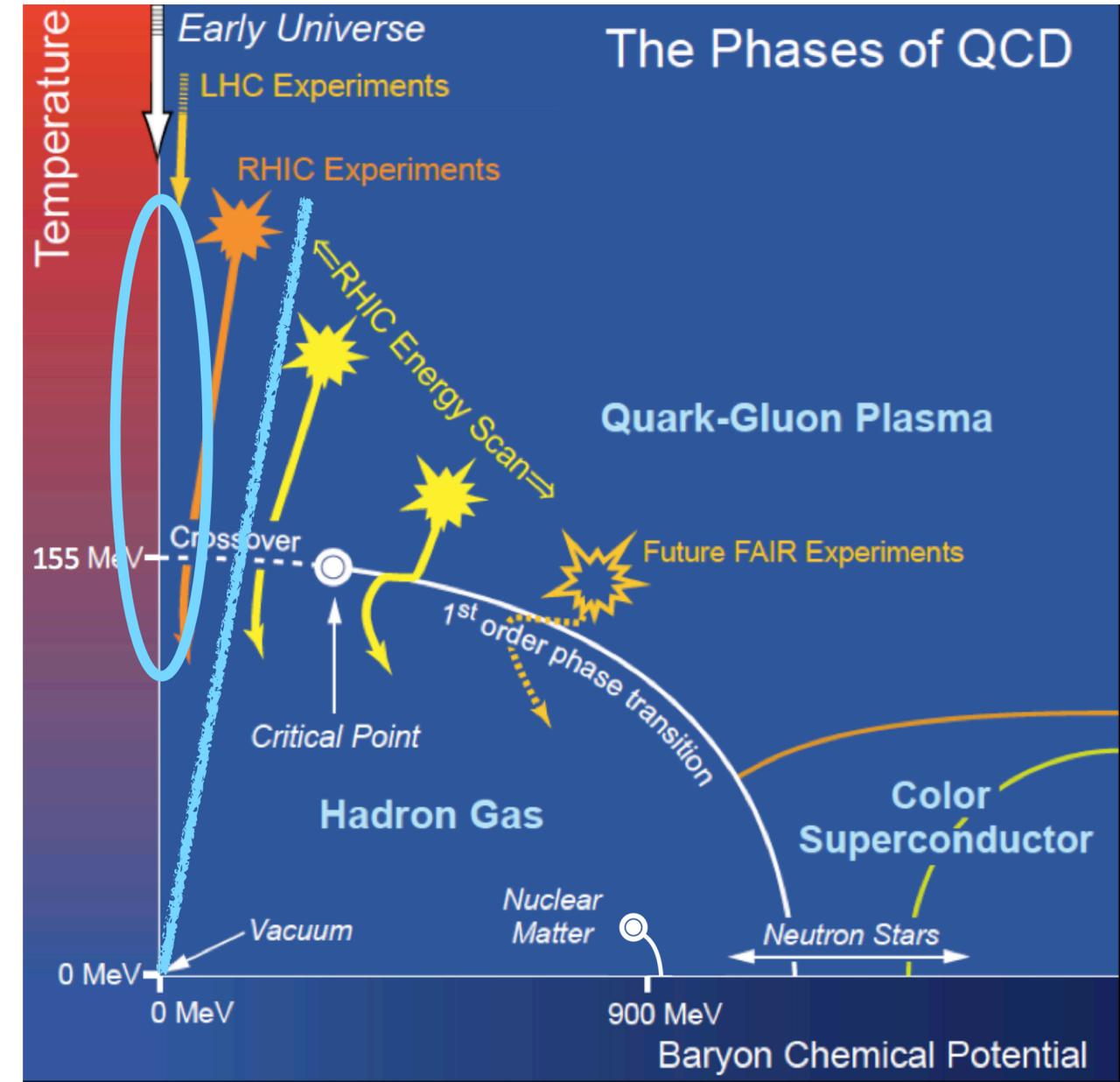
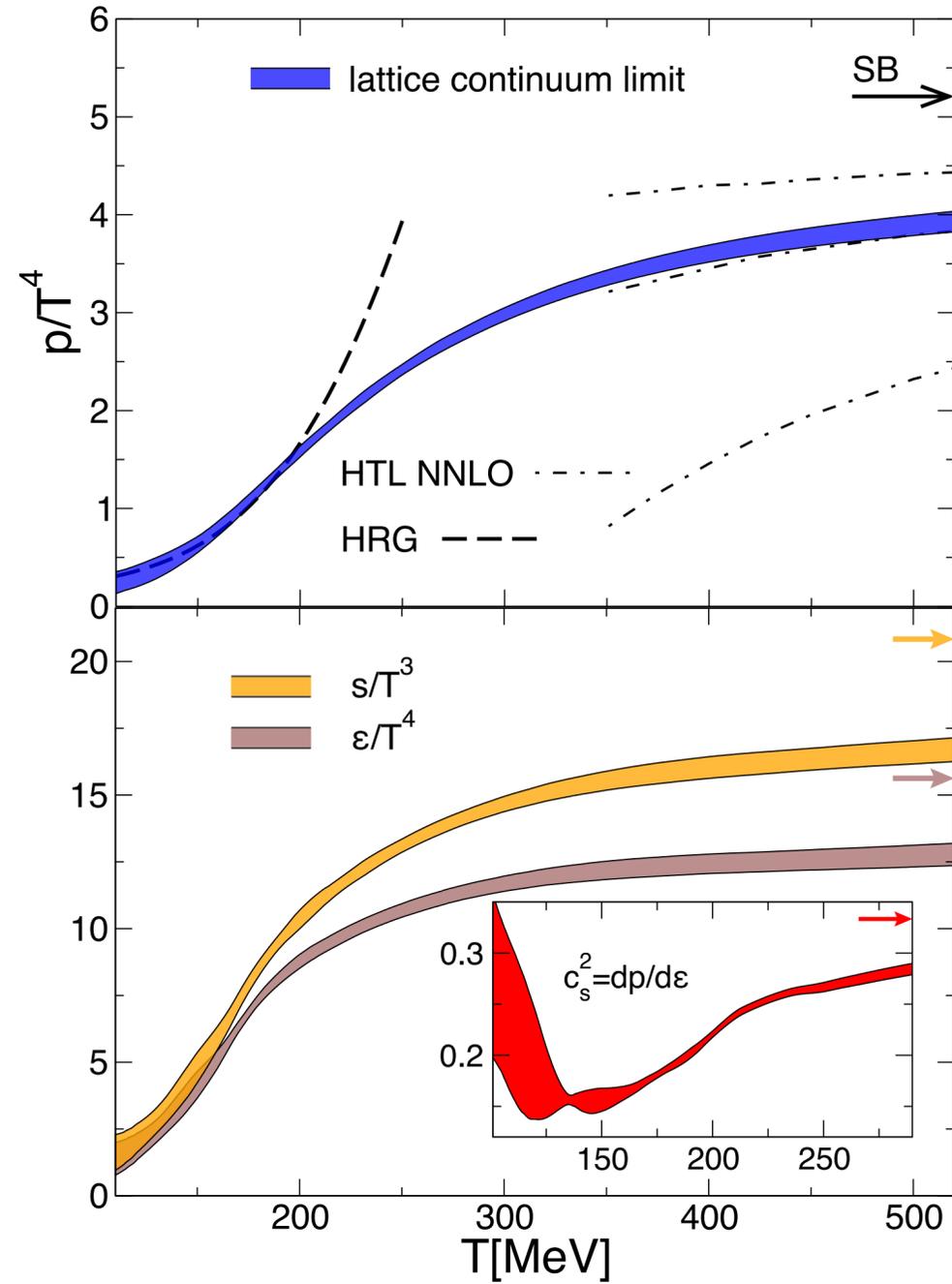
LRP 2023



LRP 2007

# The QCD phase diagram: what do we know?

LQCD EOS:  $T_{pc}(\mu_B = 0) \approx 155 \text{ MeV}$

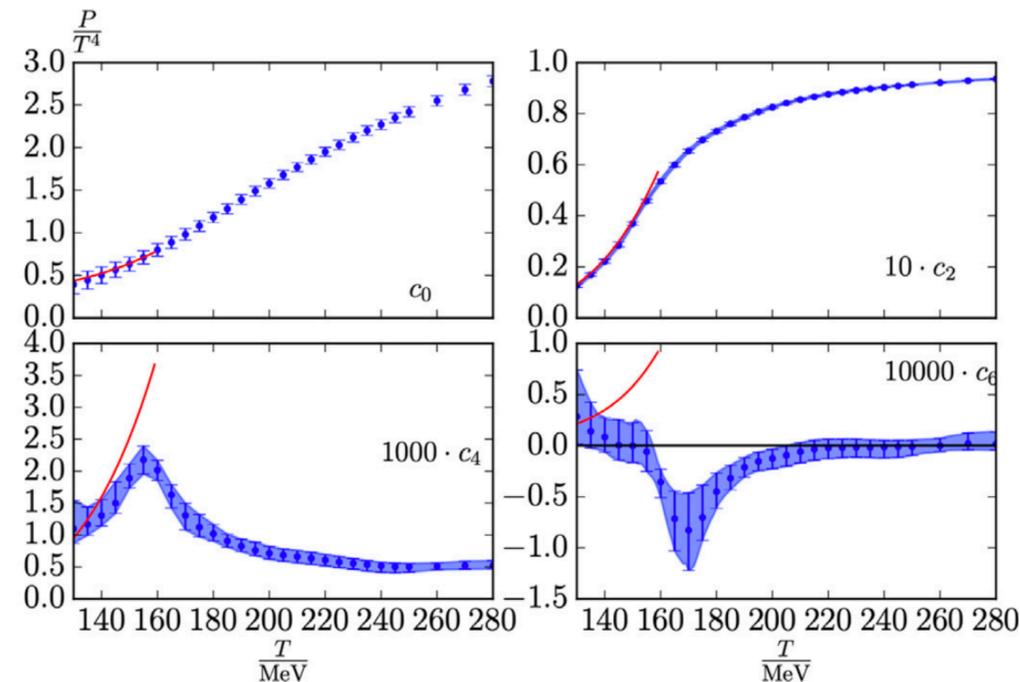
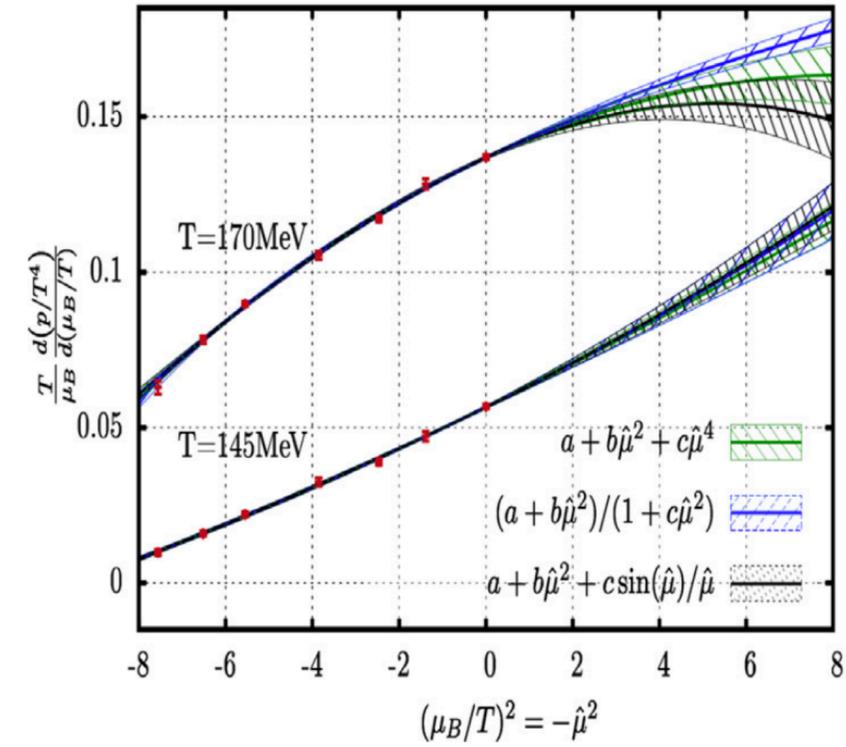


LRP 2007

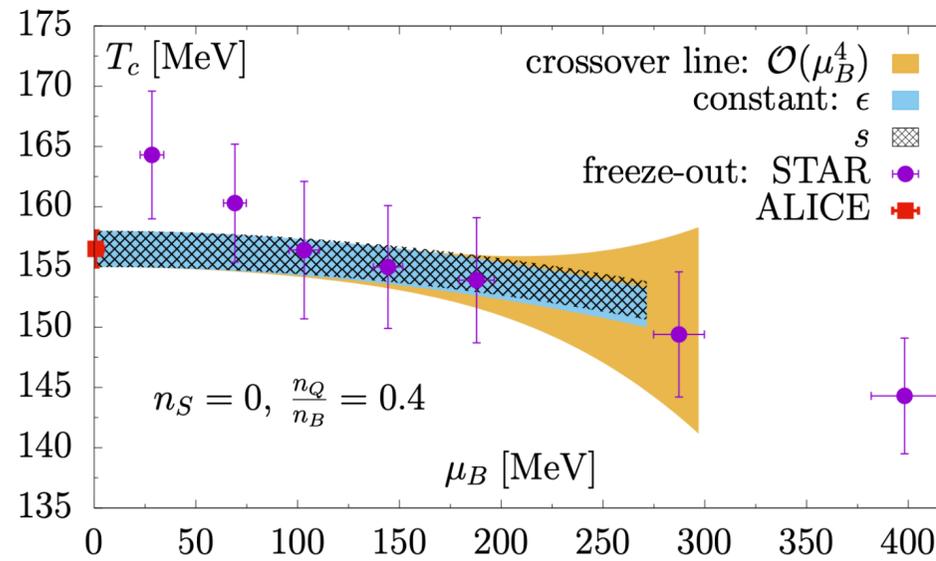
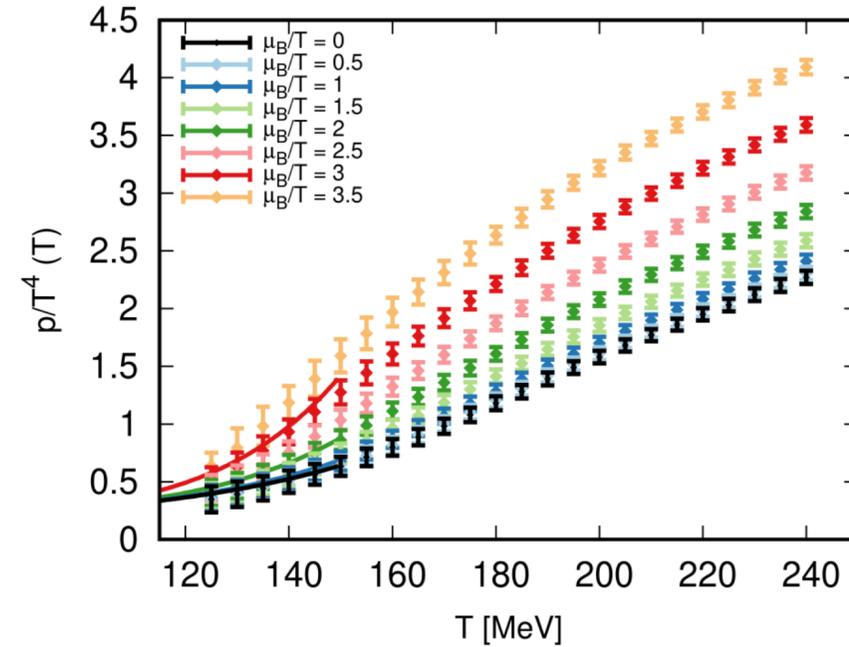
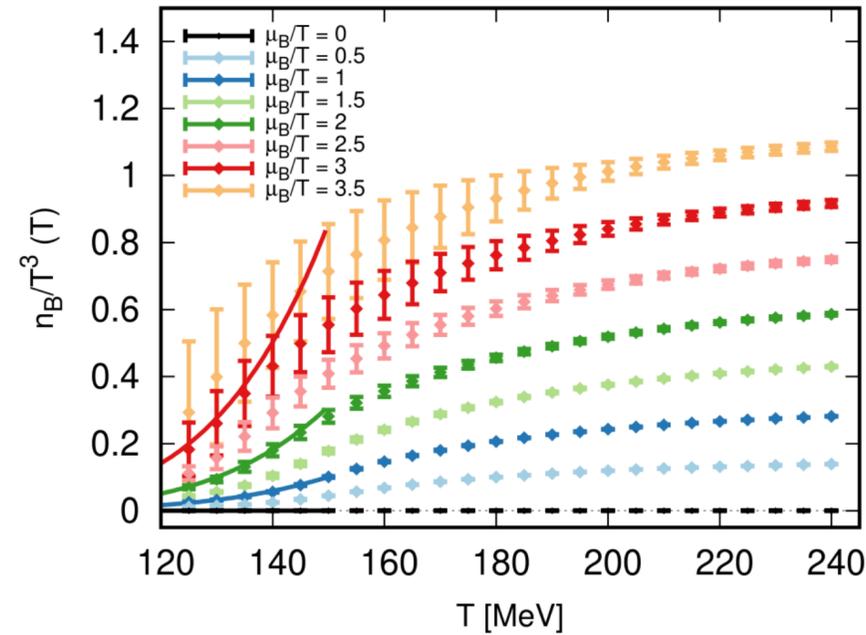
S. Borsányi *et al.*, Phys. Lett. B **730** 99–104 (2014) arXiv:1309.5258

# Lattice QCD EOS at finite $\mu_B$

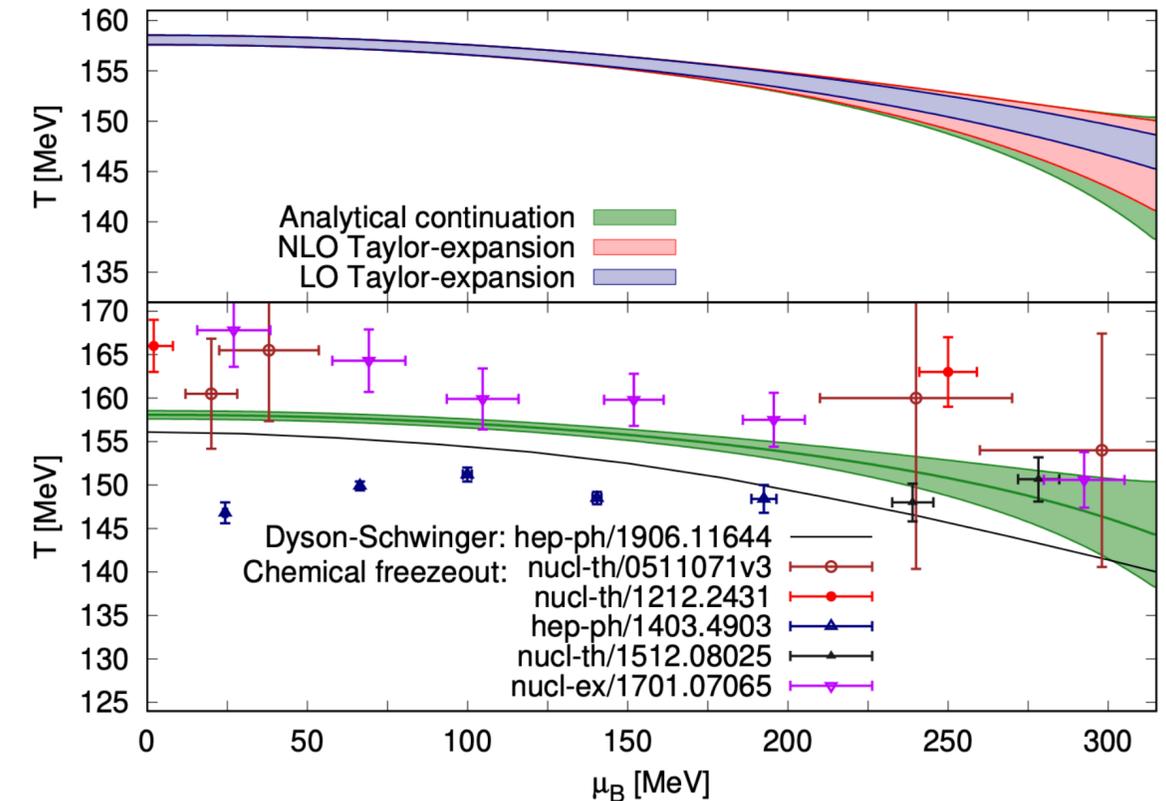
Analytical continuation on  $N_t = 12$  raw data



S. Borsányi *et al.*, Phys. Rev. Lett. 126 (23) (2021) 232001, arXiv:2102.06660



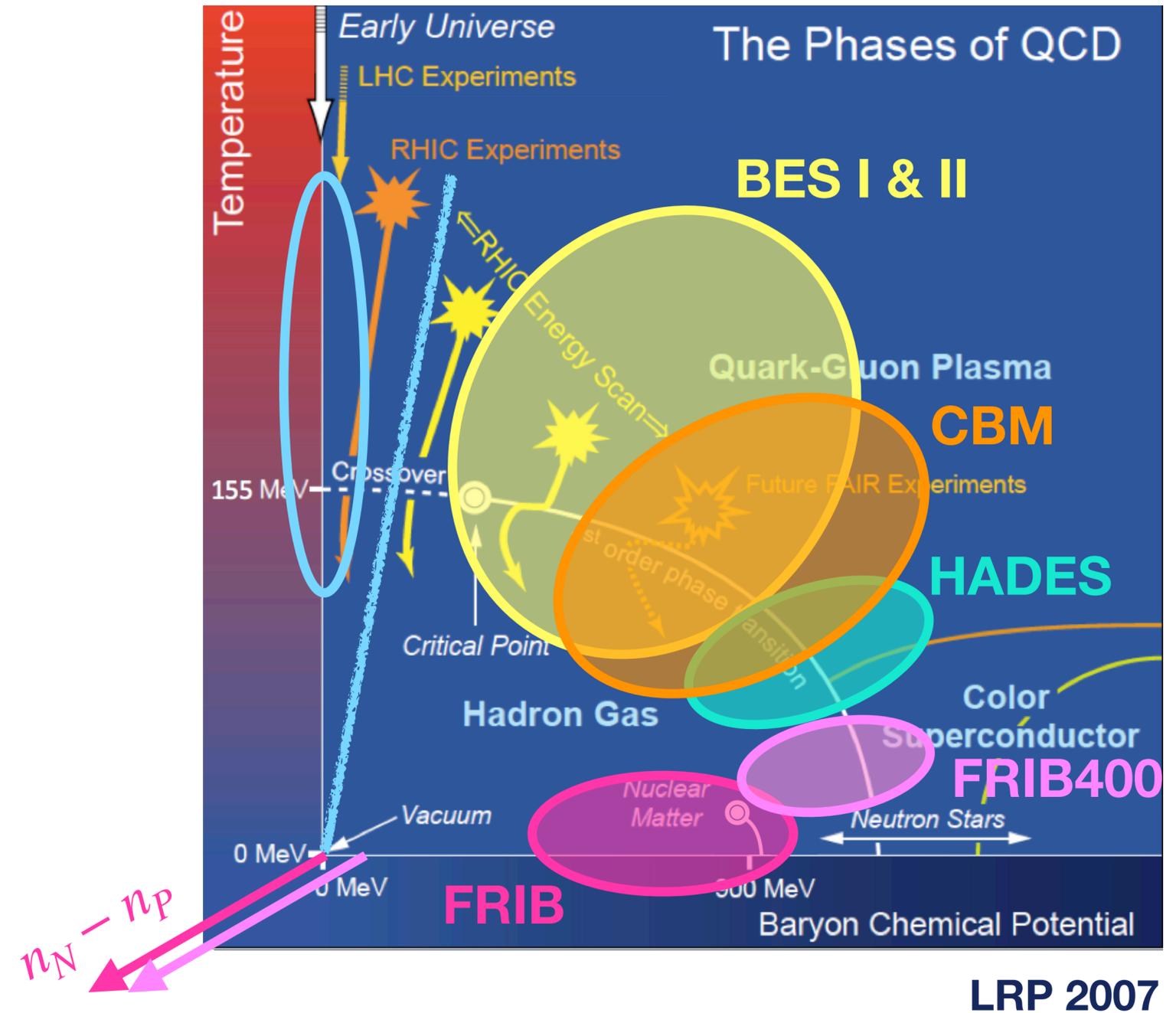
A. Bazavov *et al.* (HotQCD)  
Phys. Lett. B **795**, 15 (2019), arXiv:1812.08235



J.N. Guenther *et al.*, Nuclear Phys. A 967 (2017) 720–723 arXiv:1607.02493

S. Borsányi *et al.*, Phys. Rev. Lett. **125**, 052001 (2020), arXiv:2002.02821

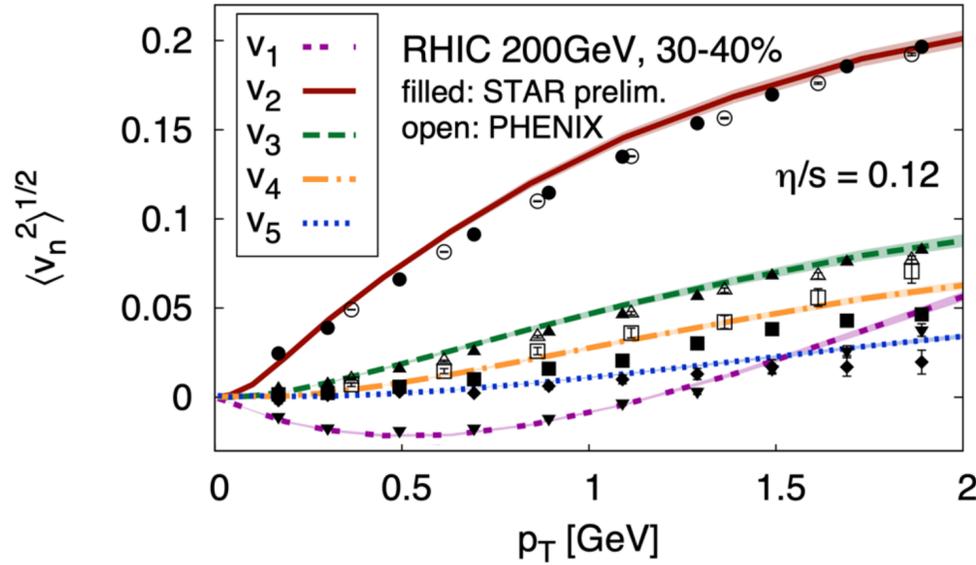
# The QCD phase diagram: what happens at high $\mu_B$ ?



Models predict a 1st order phase transition at large  $\mu_B \sim$  large  $n_B$

# The EOS of dense nuclear matter in heavy-ion collisions

Relativistic viscous hydrodynamic simulations with LQCD EOS:  
amazing agreement with data from high-energy collisions



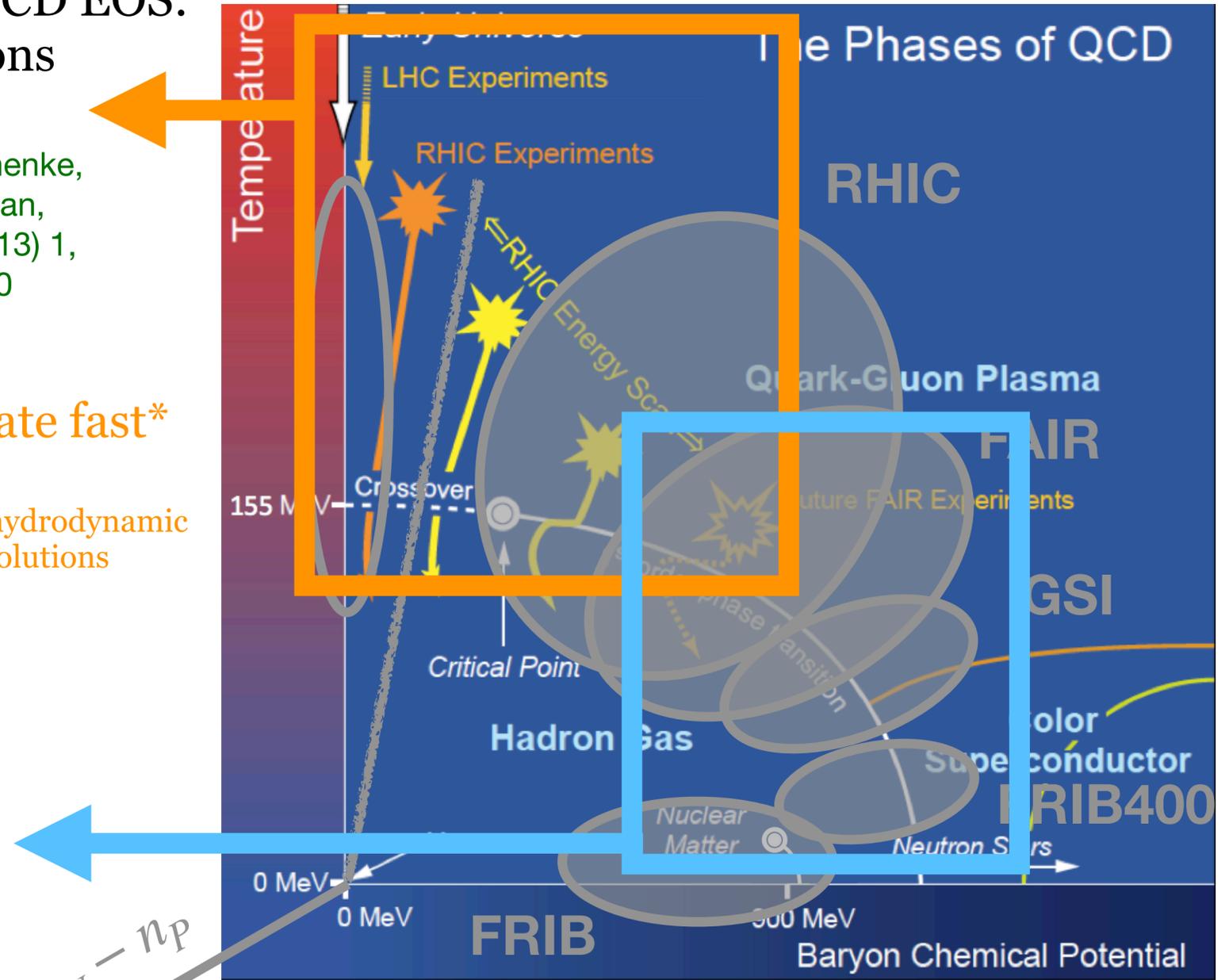
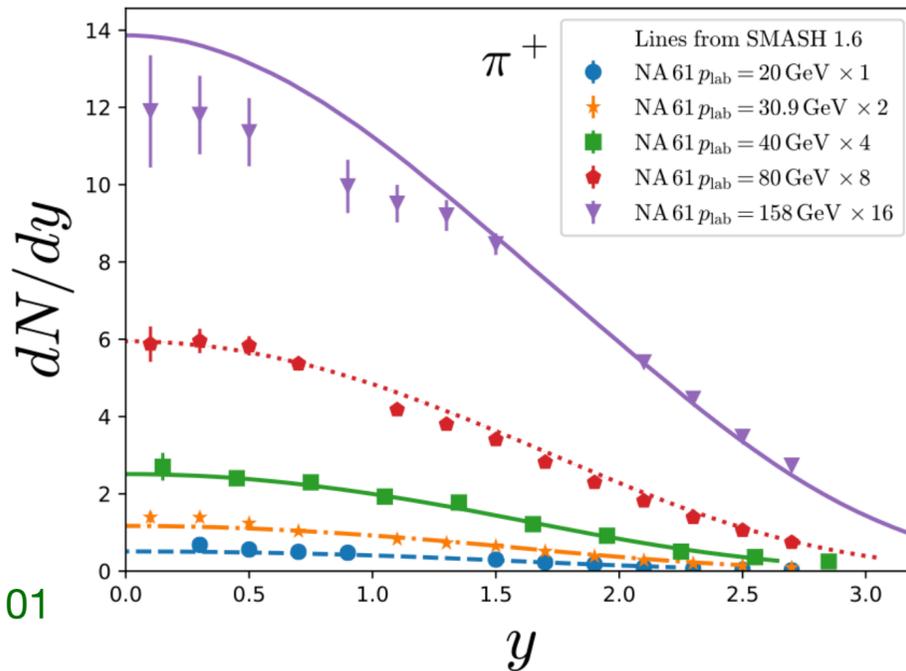
C. Gale, S. Jeon, B. Schenke,  
P. Tribedy, R. Venugopalan,  
Phys. Rev. Lett. **110** (2013) 1,  
012302, arXiv:1209.6330

systems equilibrate fast\*  
= hydro applies  
\* and even if they don't, hydrodynamic  
attractors lead to hydro solutions

Hadronic transport  
simulations:

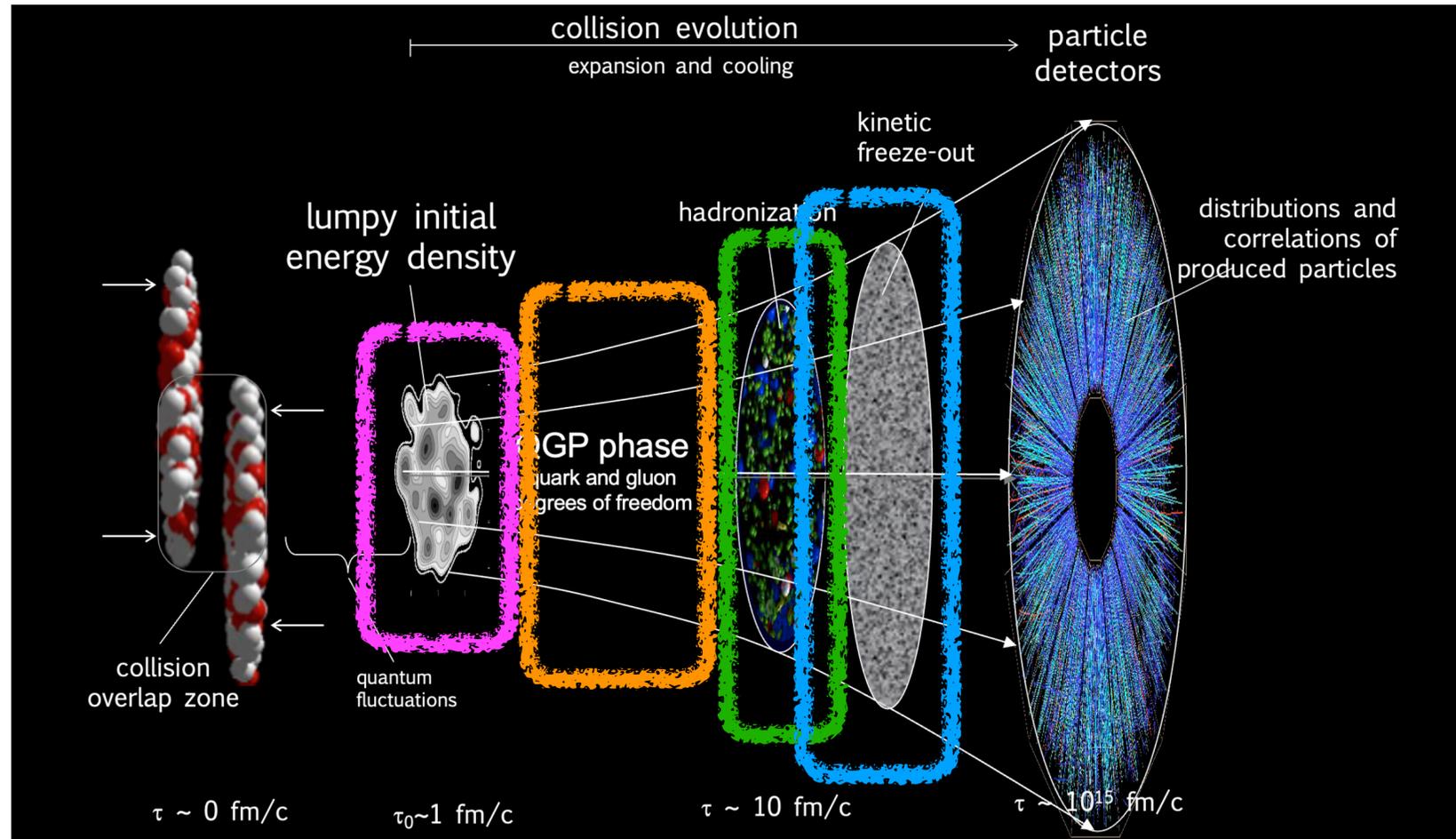
systems out of  
equilibrium  
= microscopic  
approach needed

J. Mohs, S. Ryu, H. Elfner,  
J. Phys. G **47** (2020) 6, 065101  
arXiv:1909.05586



LRP 2007

# Stages of a heavy-ion collision



P. Sorensen, Quark-gluon plasma 4, 323–374 (2010) arXiv:0905.0174

impact ~ initial state

collision geometry  
collision energy  
nuclear structure

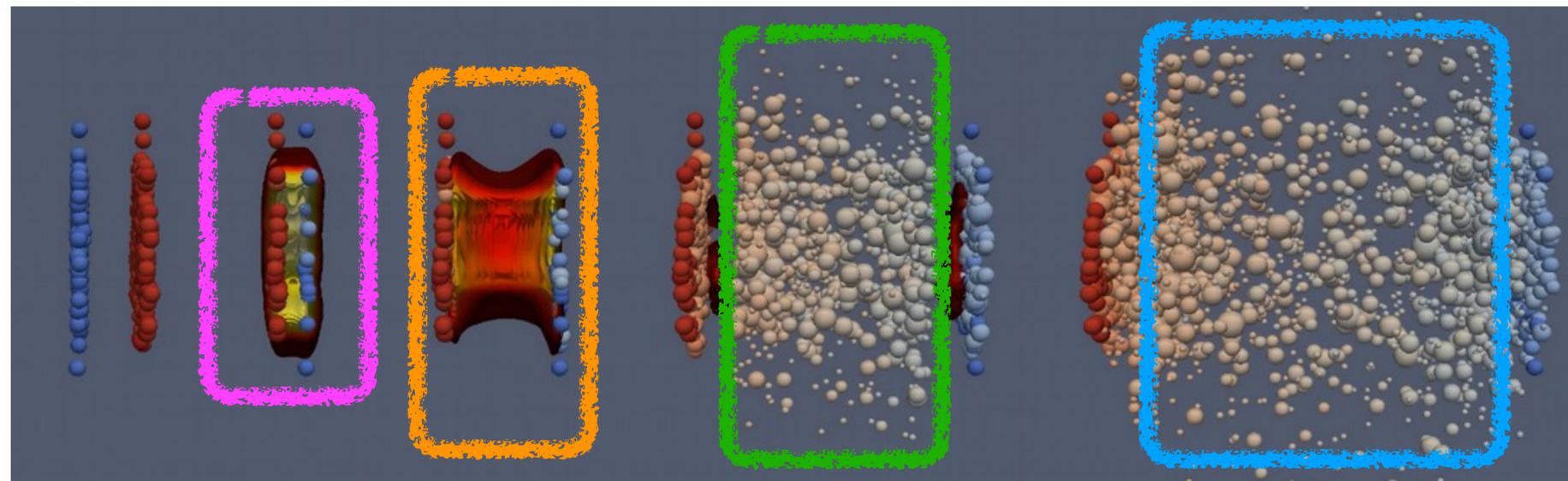
expansion

hydrodynamics: driven by the EOS  
transport: driven by the EOS & scatterings

hadronization

loss of information?

hadronic evolution & freeze-out

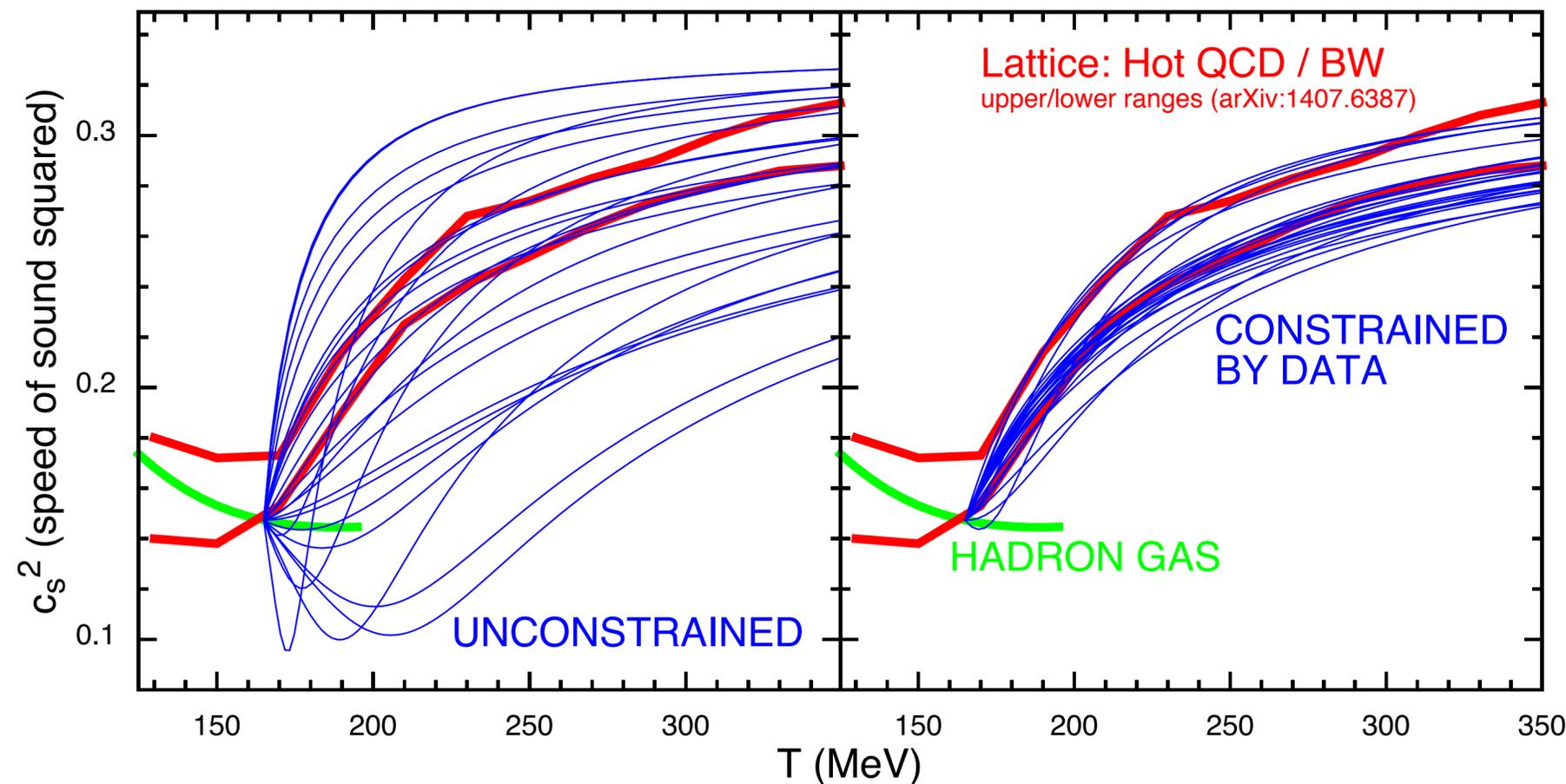


MADAI collaboration, <http://madai.us>

# Objective of BES: the EOS and the phase diagram

Use heavy-ion collisions to study the QCD EOS = extract **equilibrium bulk properties** from an **extremely small** ( $\sim 10^{-14}$  m across) and **extremely short-lived** ( $\sim 10^{-22}$  s) system using phenomenological simulations

Is it even possible??? What we learned at top RHIC energies suggests **YES!**

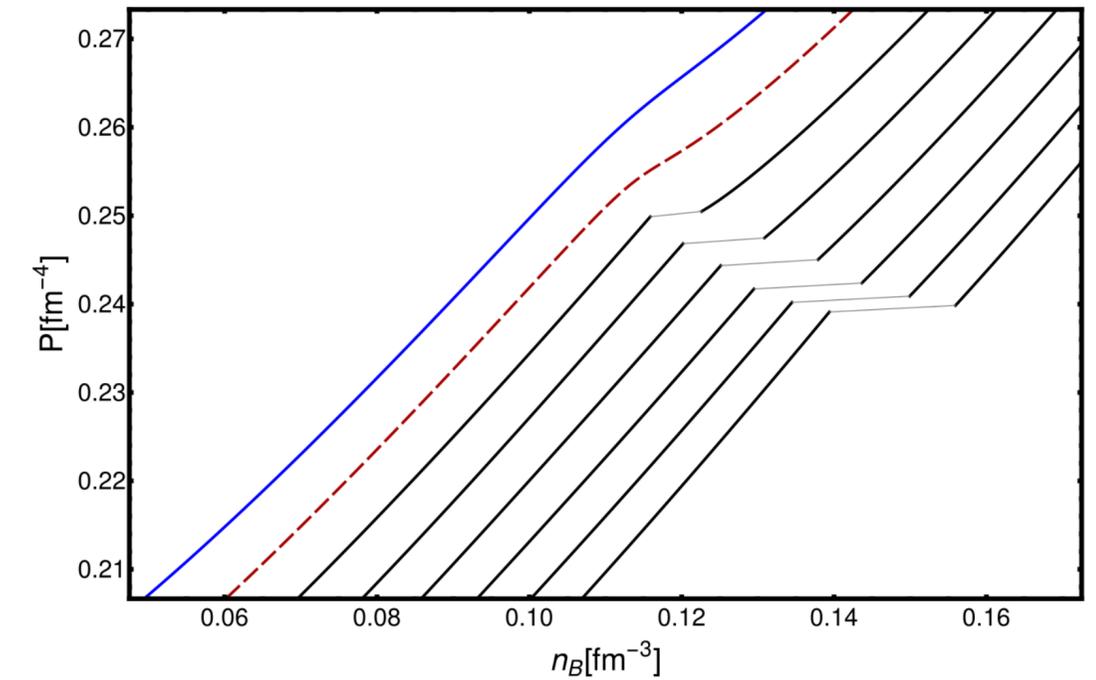
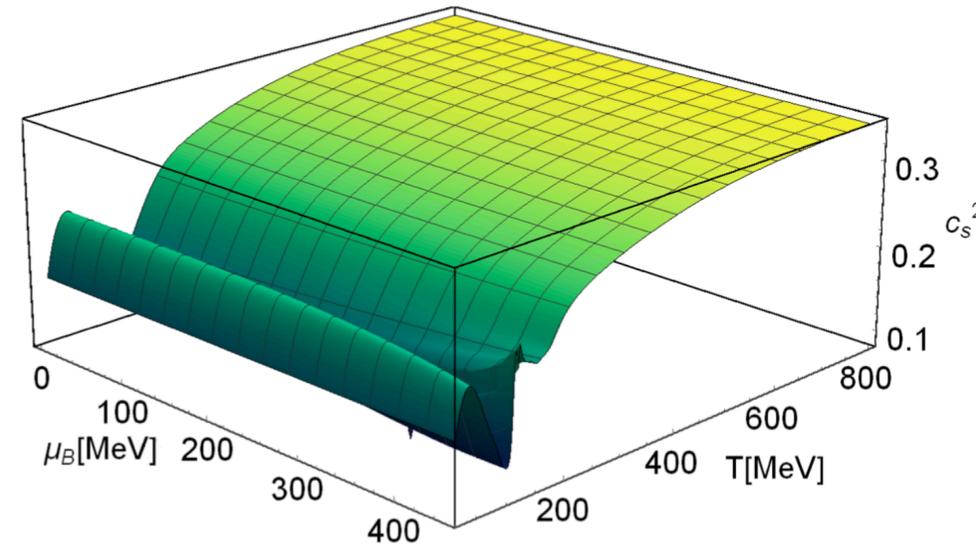
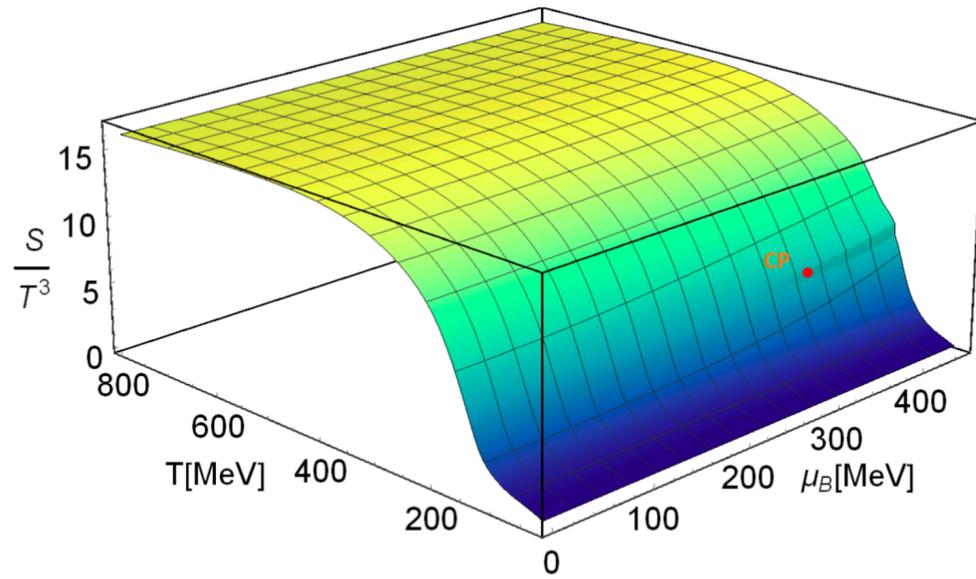


EOS constrained by Bayesian analysis of heavy-ion collisions at top RHIC energy ( $\mu_B \approx 0$ ) agrees with LQCD

No/Scarce theory predictions at finite  $\mu_B$   
=  
Unique occasion to guide theory and understanding of QCD by extracting the EOS from new experimental data

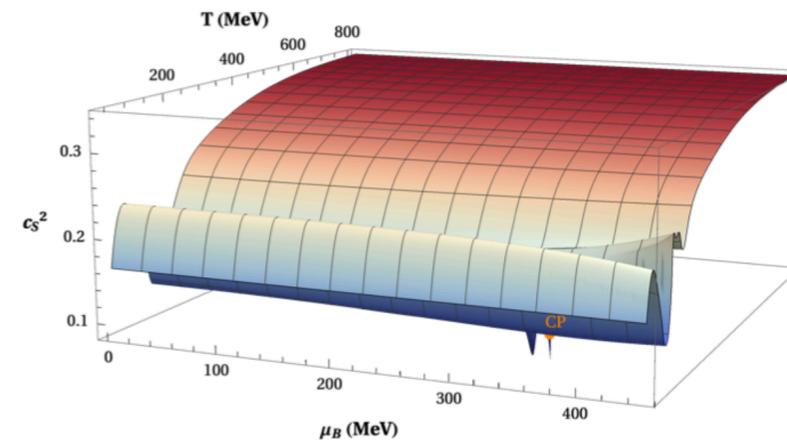
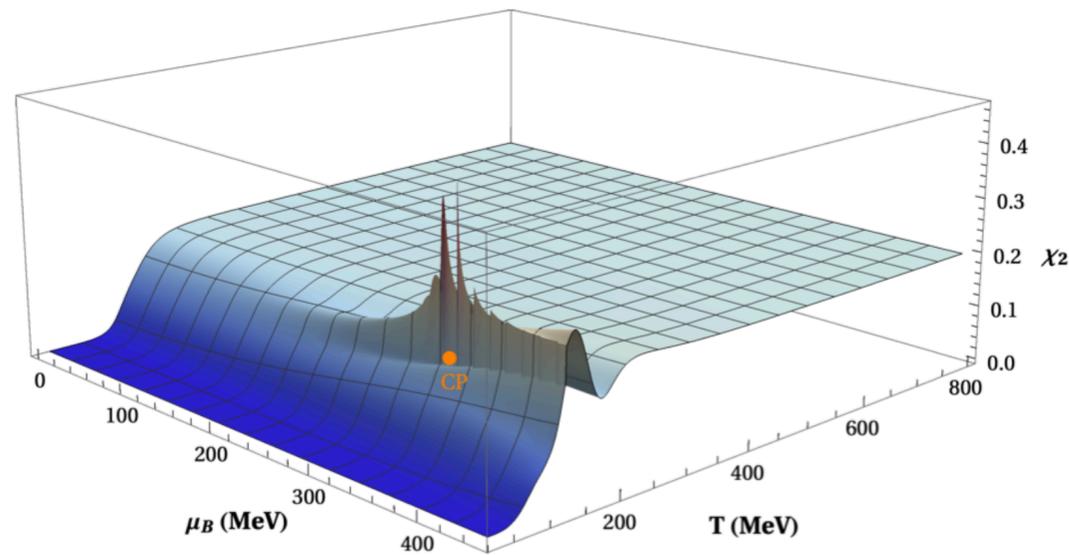
S. Pratt, E. Sangaline, P. Sorensen, H. Wang,  
Phys. Rev. Lett. **114** 202301 (2015), arXiv:1501.04042

# Input to hydrodynamics: EOS with 3D-Ising model critical point



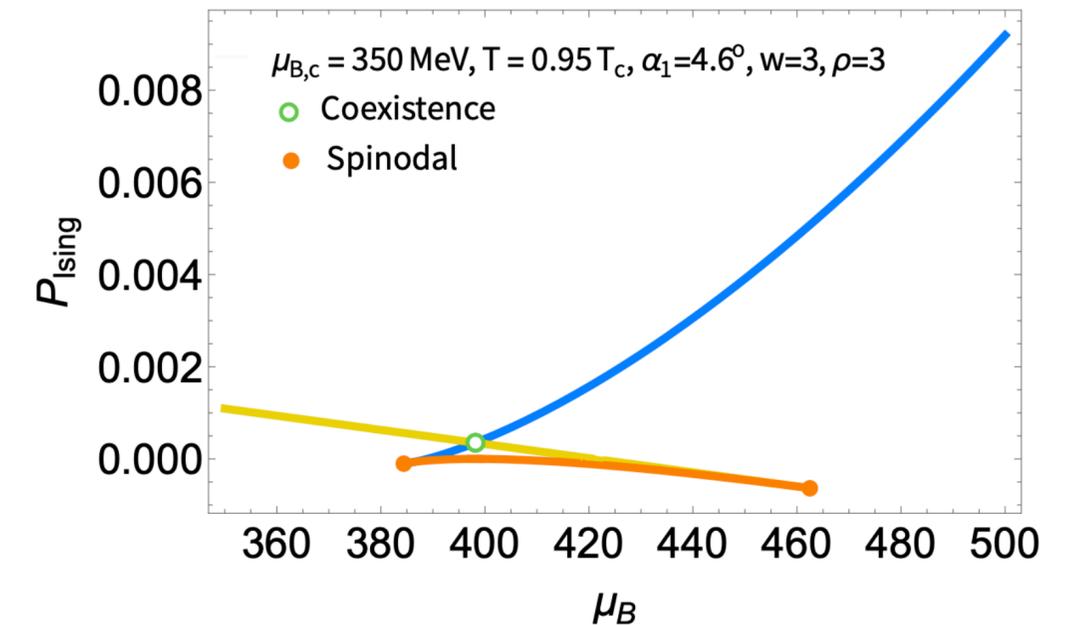
P. Parotto *et al.*, Phys. Rev. C 101, 034901 (2020), arXiv:1805.05249

with strangeness-neutrality:



J.M. Karthein *et al.*, Eur. Phys. J. Plus **136** 6, 621 (2021) arXiv:2103.08146

with spinodal regions:

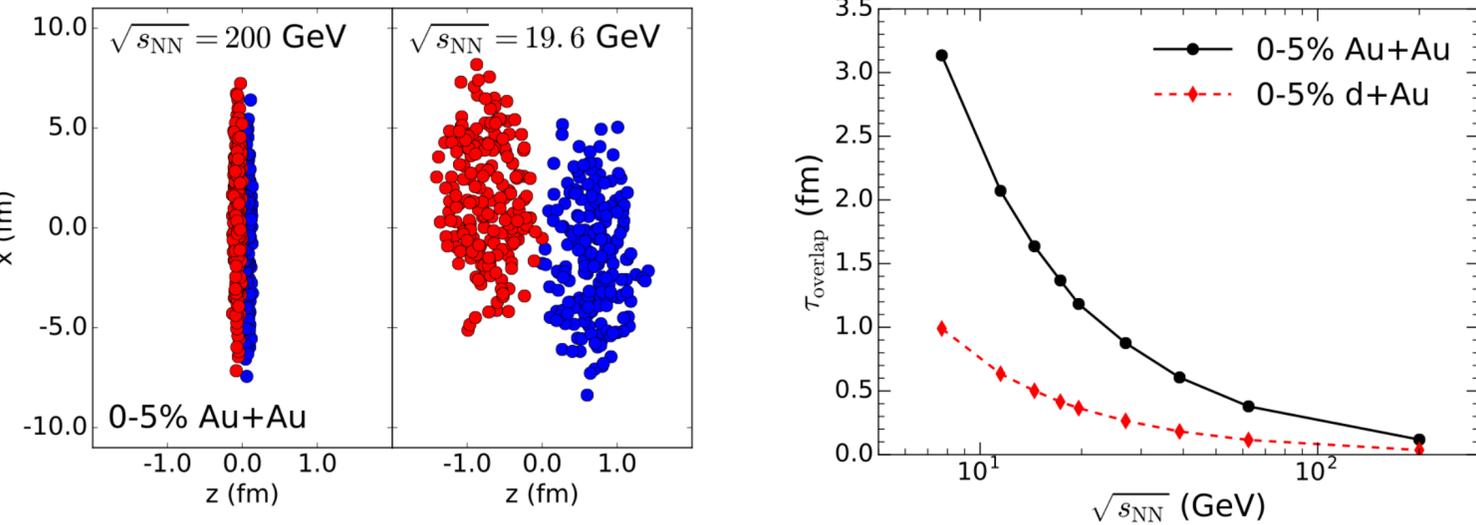


J.M. Karthein, V. Koch, C. Ratti arXiv:2409.13961

# EOS is only one of many aspects of hydrodynamics

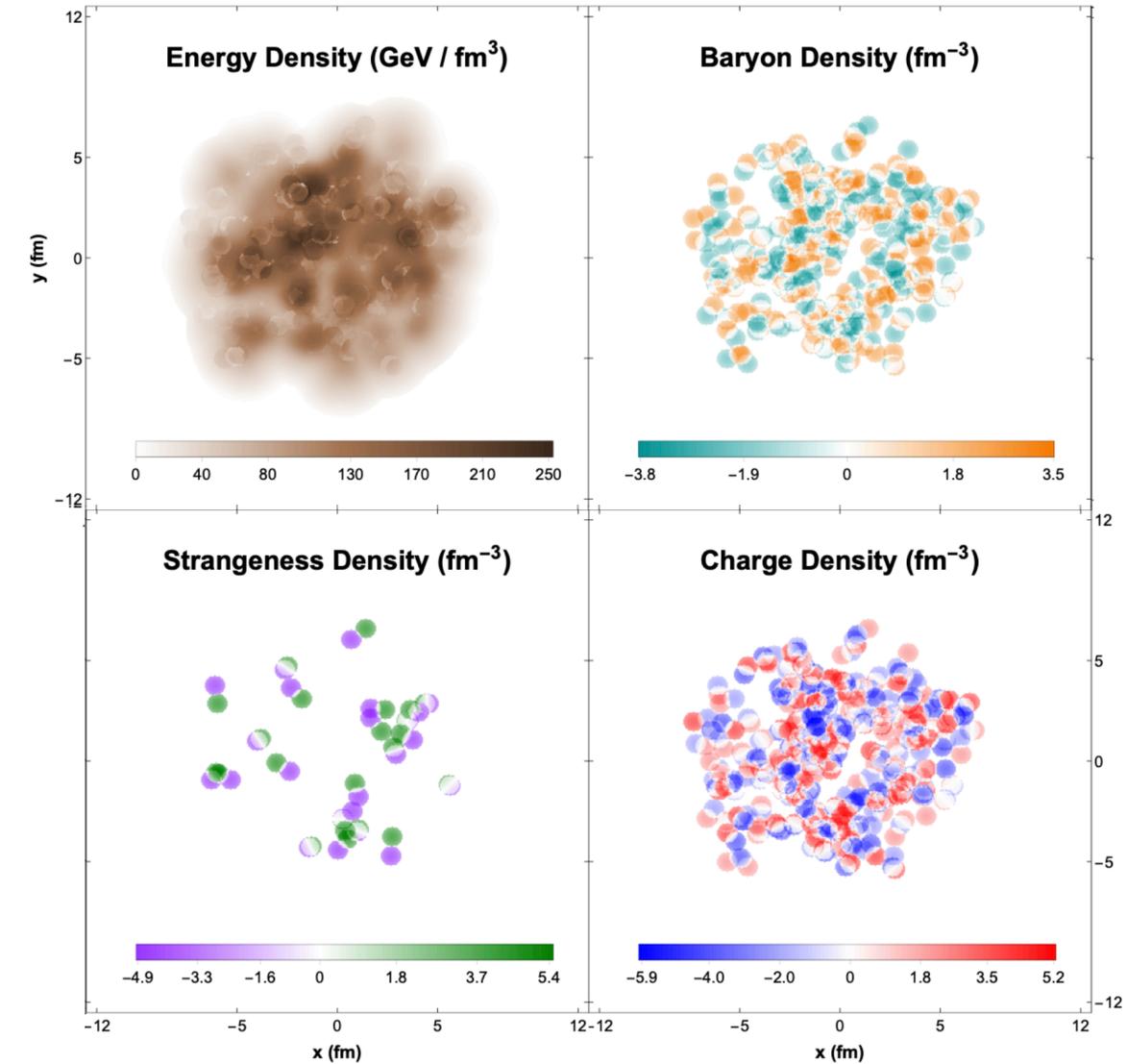
low collision energy = prolonged initial stage:

multiple conserved charges initialized with ICCING  
(Initial Conserved Charges in Nuclear Geometry)

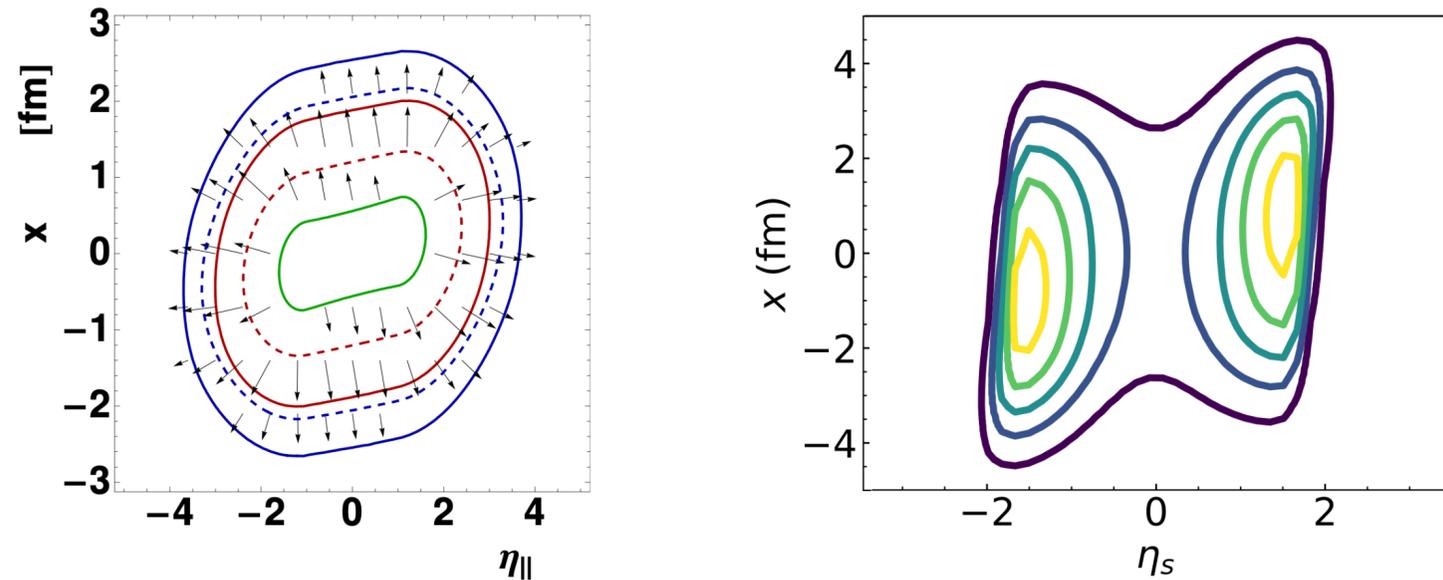


C. Shen, B. Schenke, Phys. Rev. C. **97** (2), 024907 (2018) arXiv:1710.00881

parametric initial distributions for energy and baryon density:



P. Carzon *et al.*, Phys. Rev. C. **105**(3), 034908 (2022) arXiv:1911.12454  
P. Carzon *et al.*, Phys. Rev. C. **108** (6), 064905 (2023) arXiv:2301.04572



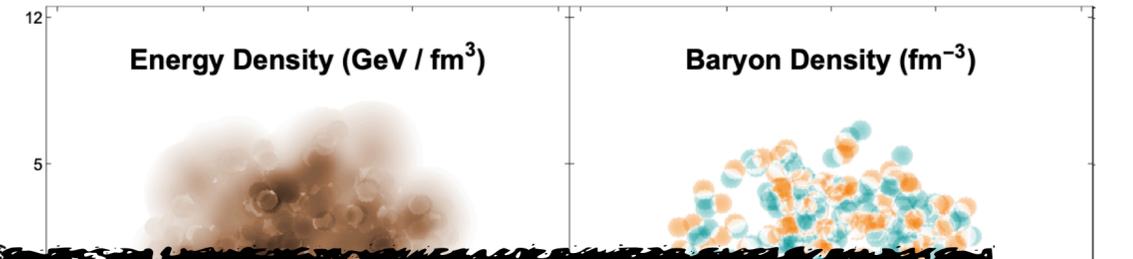
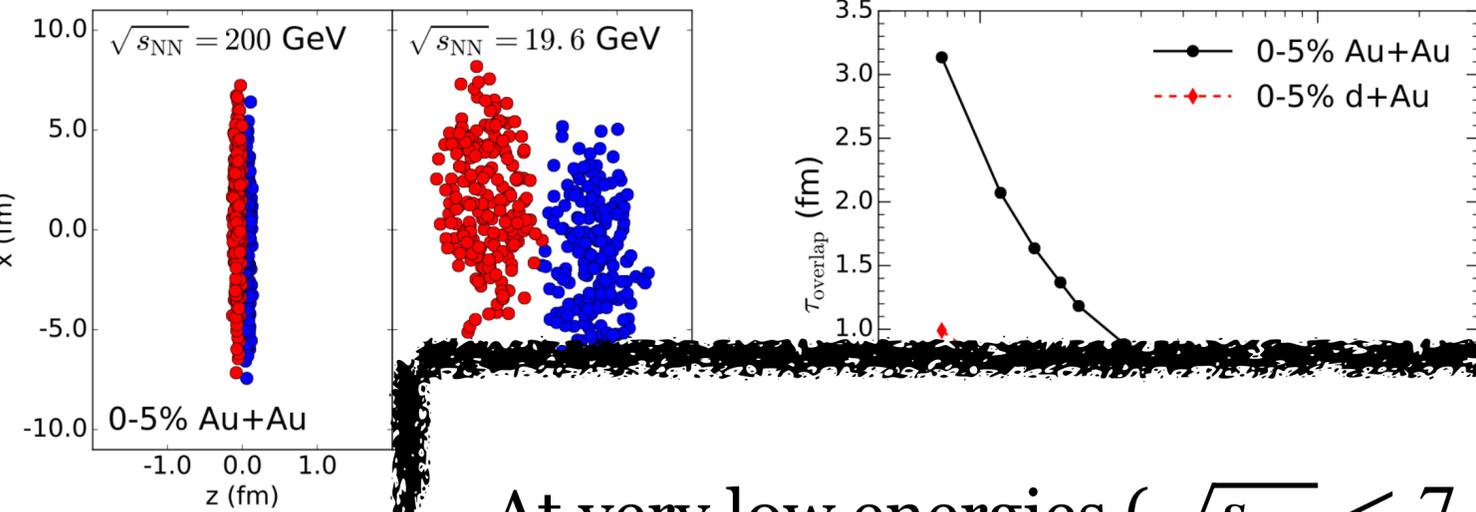
P. Bozek, I. Wyskiel, Phys. Rev. C. **81**, 054902 (2010) arXiv:1002.4999

L. Du, C. Shen, S. Jeon, C. Gale, Phys. Rev. C. **108**(4), L041901 (2023) arXiv:2211.16408

# EOS is only one of many aspects of hydrodynamics

low collision energy = prolonged initial stage:

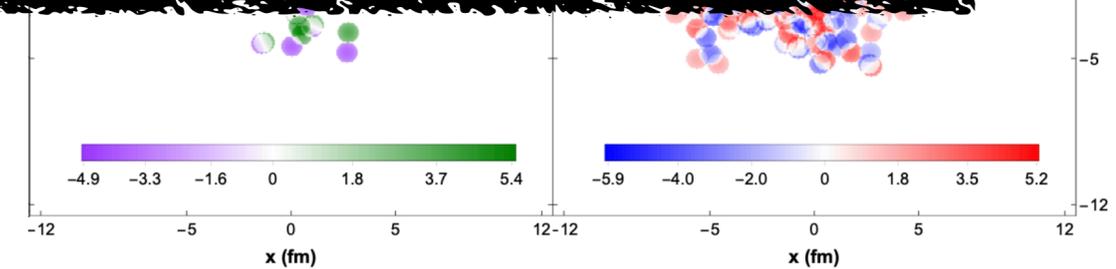
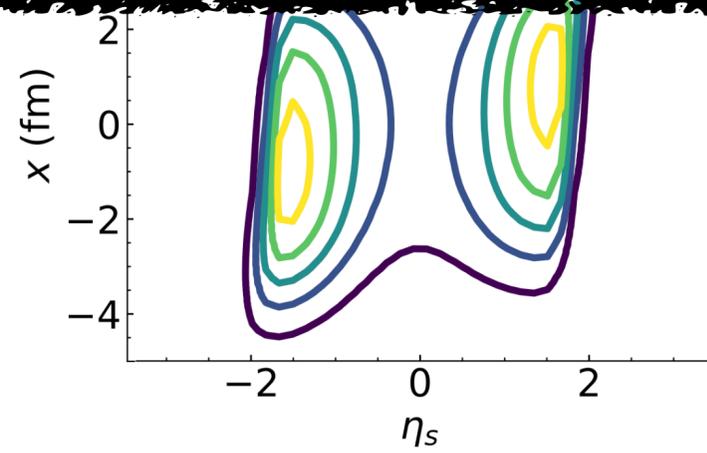
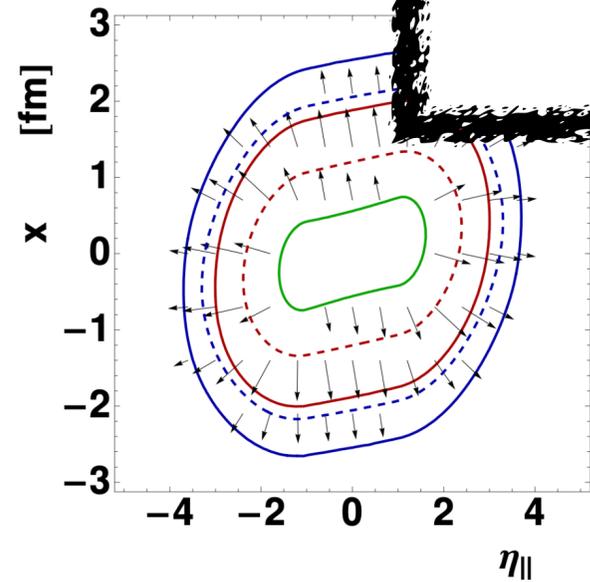
multiple conserved charges initialized with ICCING  
(Initial Conserved Charges in Nuclear Geometry)



At very low energies ( $\sqrt{s_{NN}} \leq 7$  GeV) hydrodynamics still faces problems:  
approach to equilibrium is so long that equilibrium may never be reached!

Enter transport simulations

C. Shen, B. Schenke  
parametric  
energy and



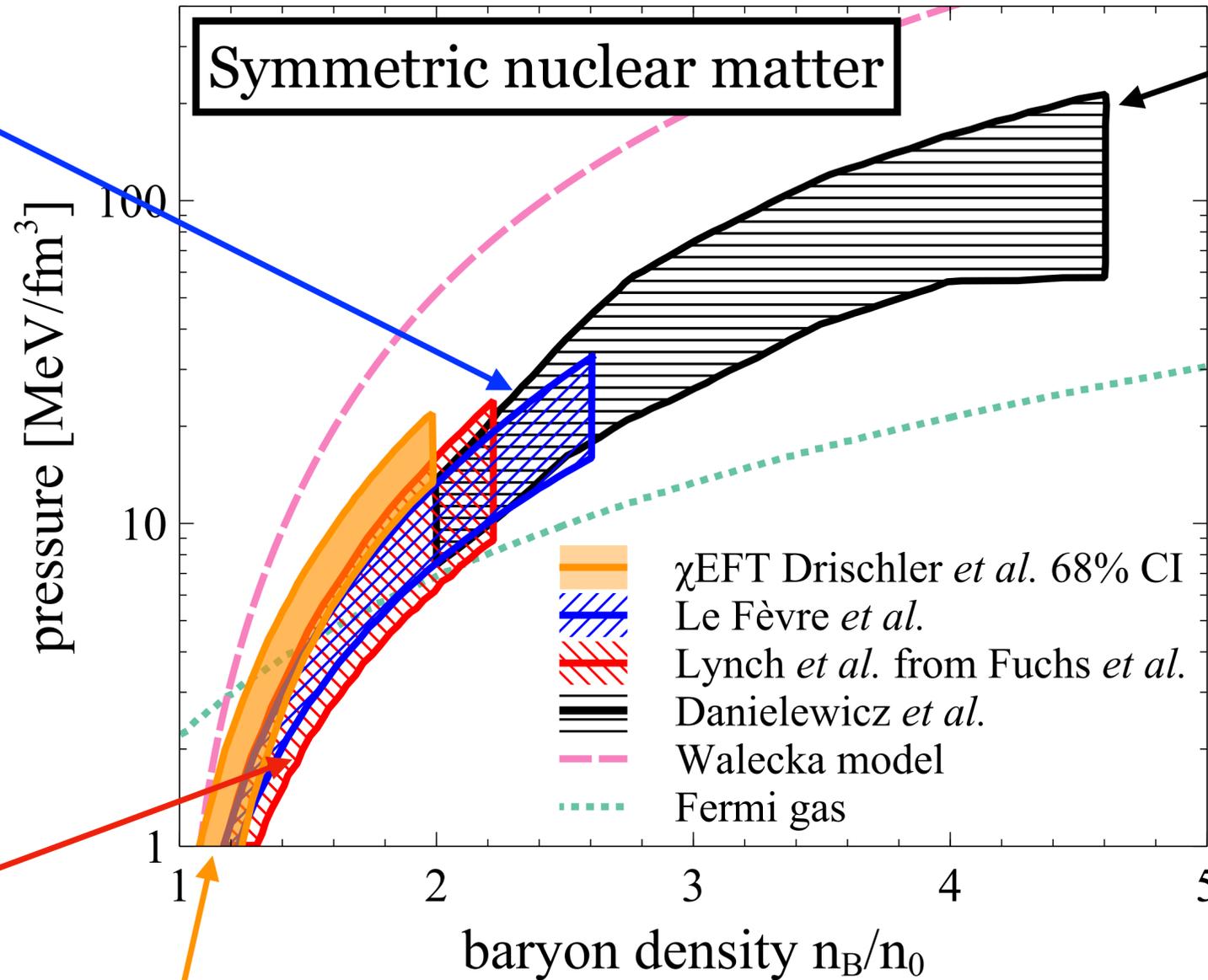
P. Carzon *et al.*, Phys. Rev. C. 105(3), 034908 (2022) arXiv:1911.12454  
P. Carzon *et al.*, Phys. Rev. C. 108 (6), 064905 (2023) arXiv:2301.04572

P. Bozek, I. Wyskiel, Phys. Rev. C. 81, 054902 (2010) arXiv:1002.4999

L. Du, C. Shen, S. Jeon, C. Gale, Phys. Rev. C. 108(4), L041901 (2023) arXiv:2211.16408

# Constraints on the EOS come from comparisons to transport models

Symmetric nuclear matter



197Au+197Au @ 0.4–1.5 GeV/u  
 ( $\sqrt{s_{NN}} = 2.07 - 2.52$  GeV)  
 observables: proton flow (FOPI)  
 model used: **isospin QMD (IQMD)** w/  
 nucleons,  $\Delta$ ,  $N^*(1440)$ , deuterons, tritons;  
 EOS parametrized by  $K_0$ ;  
 momentum dependence  
 A. Le Fèvre, Y. Leifels, W. Reisdorf, J.  
 Aichelin, C. Hartnack, Nucl. Phys. A 945,  
 112 (2016), arXiv:1501.05246

197Au+197Au @ 0.15–10 GeV/u  
 ( $\sqrt{s_{NN}} = 1.95 - 4.72$  GeV)  
 observables: proton flow  
 (Plastic Ball, EOS, E877, E895)  
 model used: **pBUU** w/ nucleons,  $\Delta$ ,  
 $N^*(1440)$ , pions;  
 EOS parametrized by  $K_0$ ;  
 momentum dependence  
 P. Danielewicz, R. Lacey, W. G. Lynch,  
 Science **298**,1592–1596 (2002)

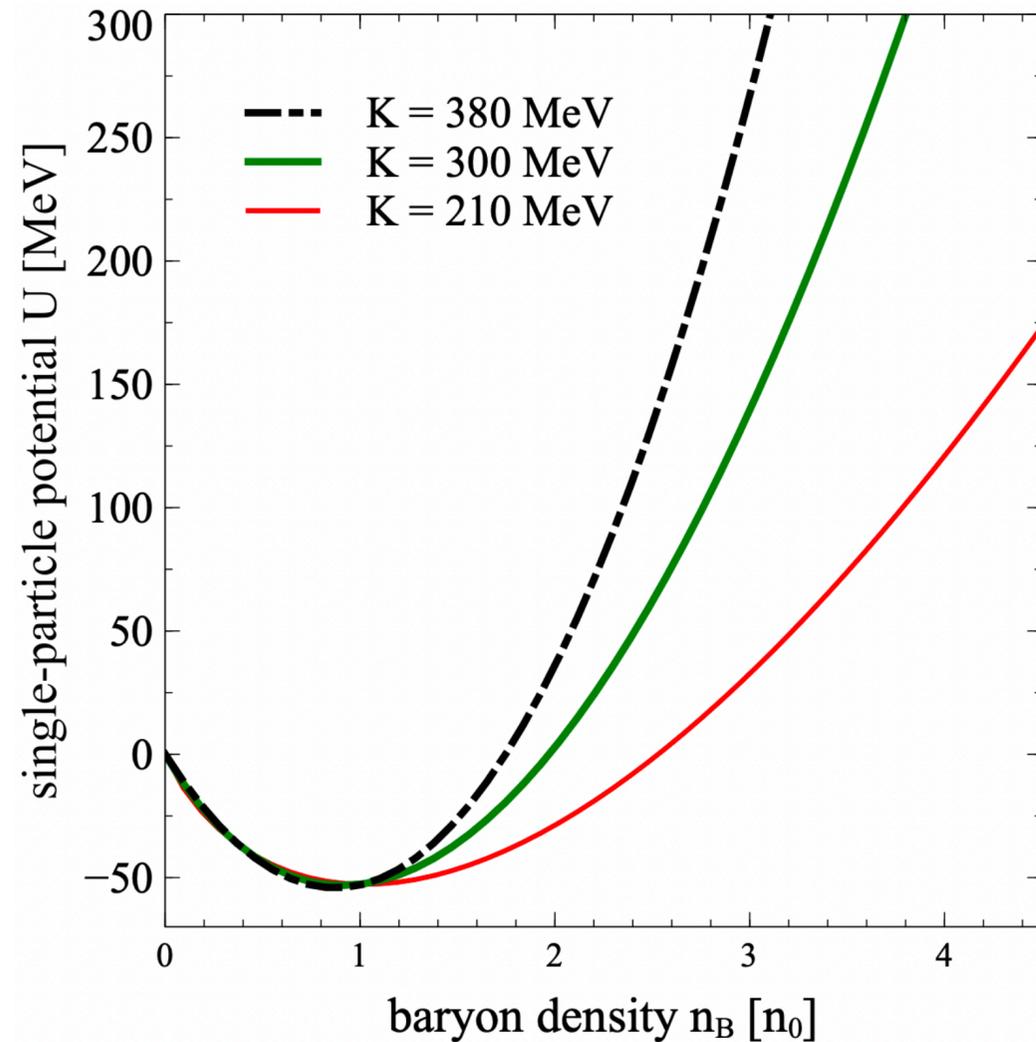
197Au+197Au & 12C+12C @ < 1.5 GeV/u  
 ( $\sqrt{s_{NN}} < 2.5$  GeV)  
 observables: subthreshold kaon production  
 (KaoS)  
 model used: **QMD** w/ nucleons,  $\Delta$ ,  
 $N^*(1440)$ , pions, kaons;  
 EOS parametrized by  $K_0$ ;  
 kaon potentials, momentum dependence  
 C. Fuchs et al., Prog. Part. Nucl. Phys. **53**,  
 113–124 (2004) arXiv:nucl-th/0312052

$\chi$ EFT  
 C. Drischler et al., Phys. Rev. C **102** 5, 054315 (2020)  
 arXiv:2004.07805

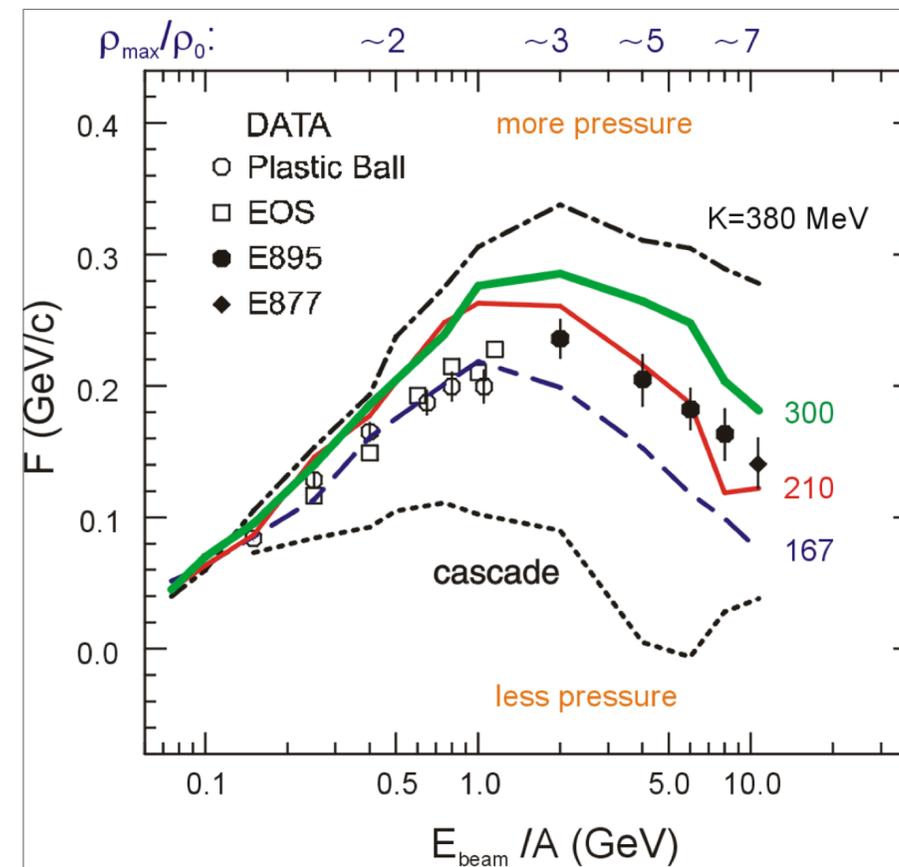
A. Sorensen et al., Prog. Part. Nucl. Phys. **134**, 104080 (2024)  
 arXiv:2301.13253

# Standard way of modeling the EOS: Skyrme potential

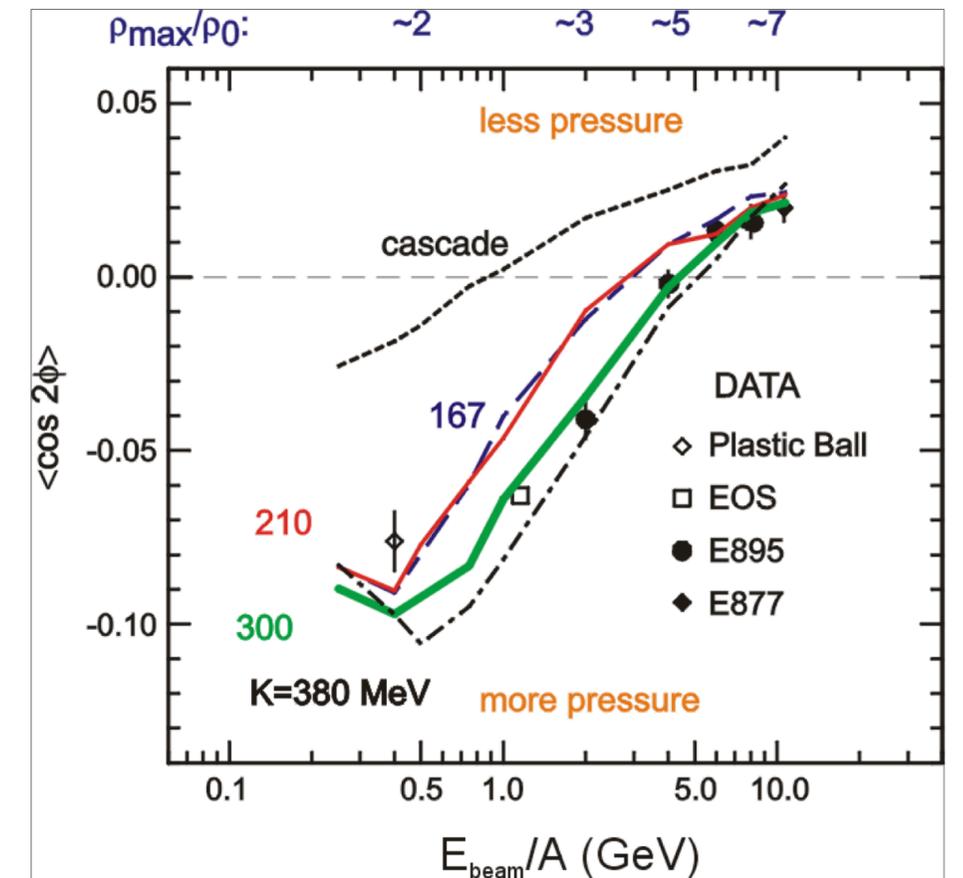
The most common form of the EOS is the “Skyrme potential”:  $U(n_B) = A \left( \frac{n_B}{n_0} \right) + B \left( \frac{n_B}{n_0} \right)^\tau$



$$F = \left. \frac{d\langle p_x/A \rangle}{d(y/y_{cm})} \right|_{v/v_1 = 1} \sim \frac{dv_1}{dy}$$



$v_2$

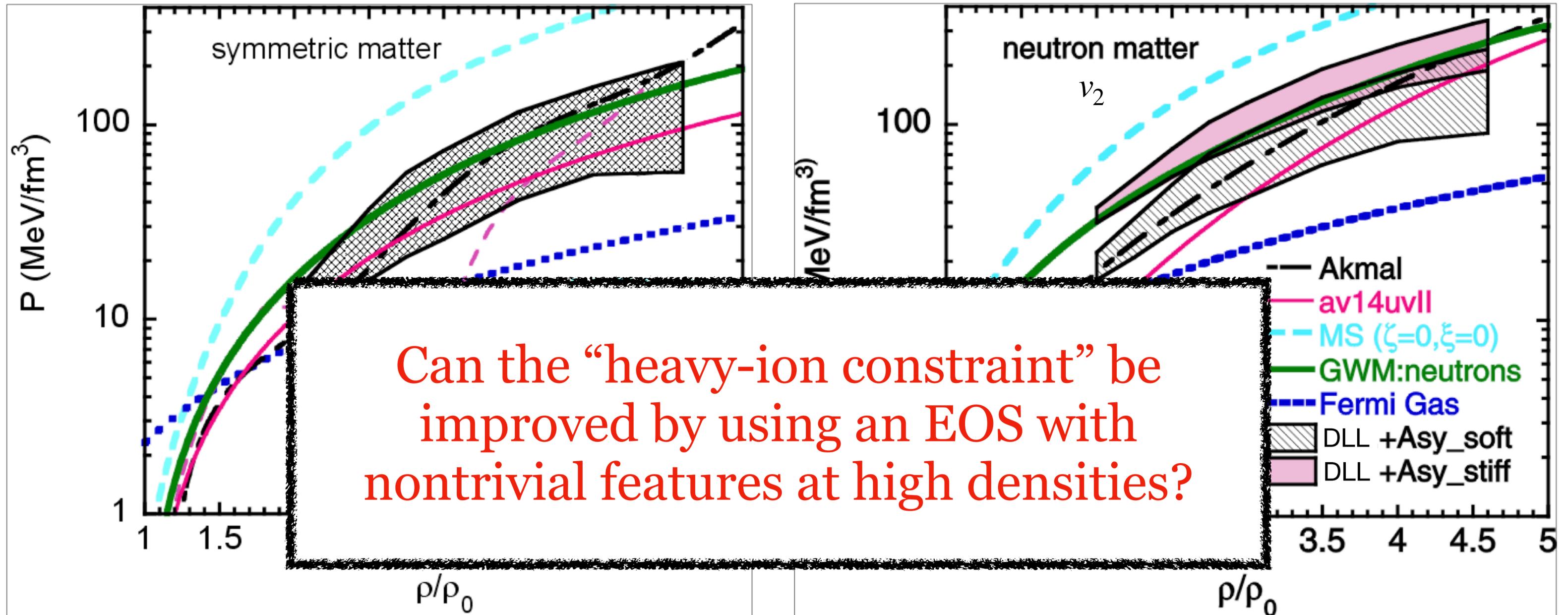


P. Danielewicz, R. Lacey, W. G. Lynch,  
 Science **298**, 1592–1596 (2002), arXiv:nucl-th/0208016

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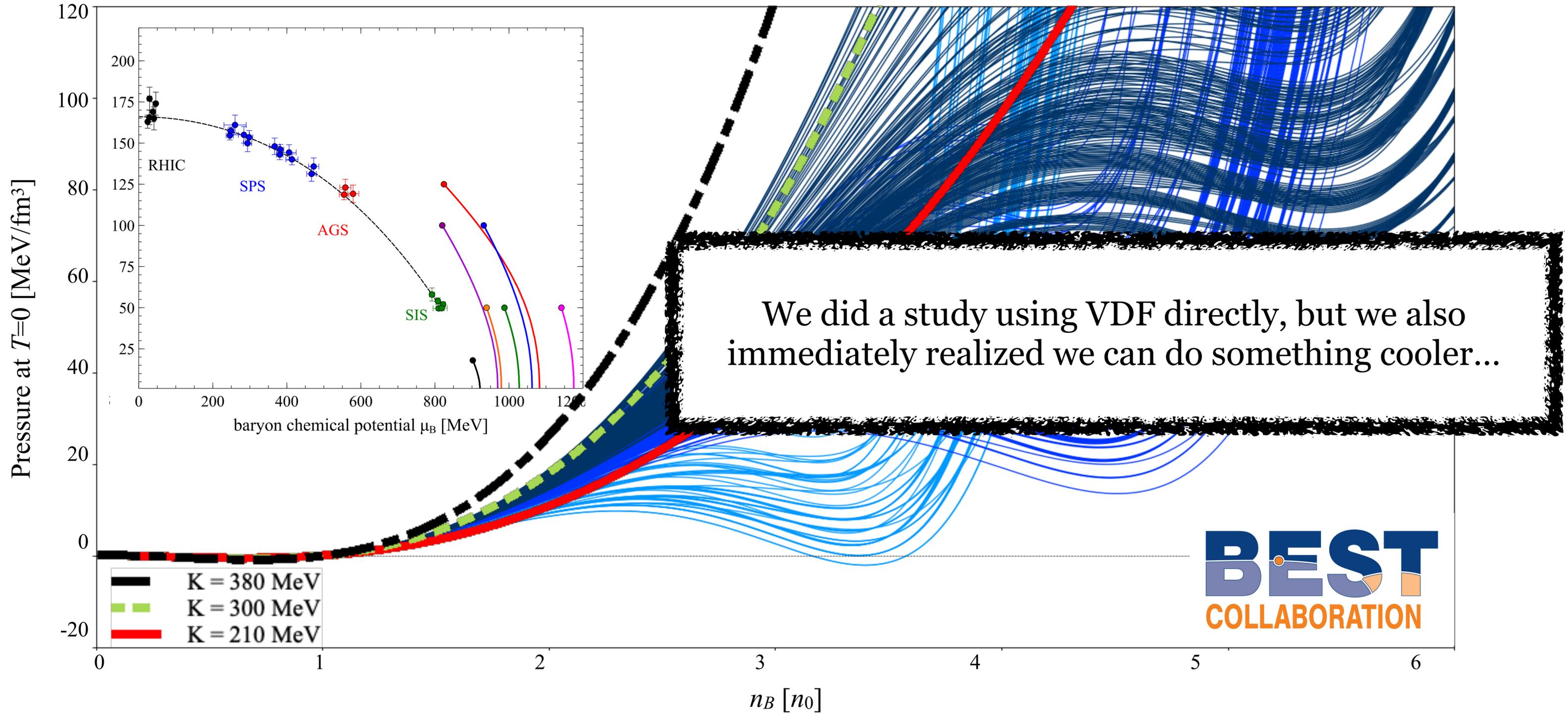
P. Danielewicz, R. Lacey, W. G. Lynch,  
Science **298**, 1592–1596 (2002), arXiv:nucl-th/0208016

“the heavy-ion constraint”



# VDF model: relativistic potentials with **two** 1st order phase transitions

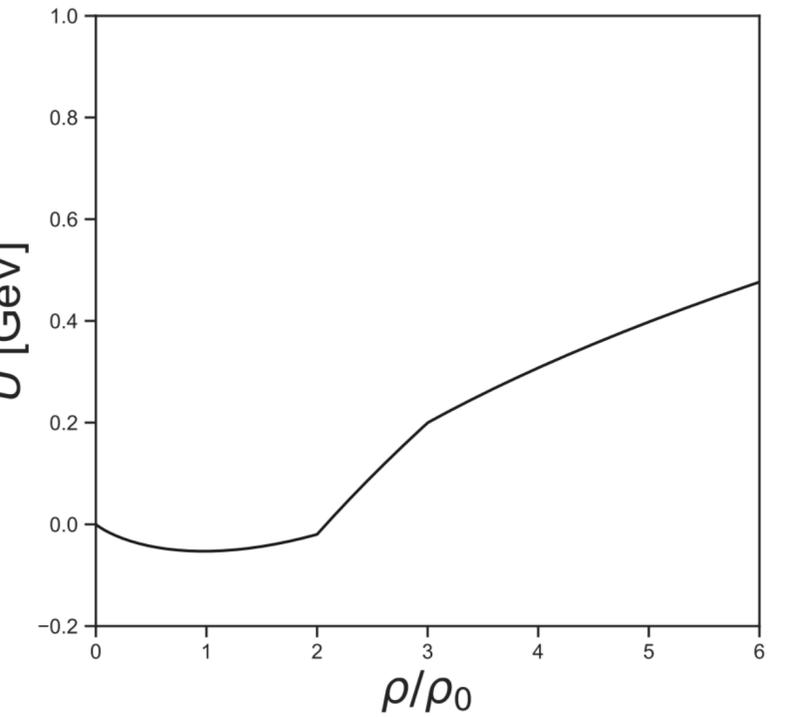
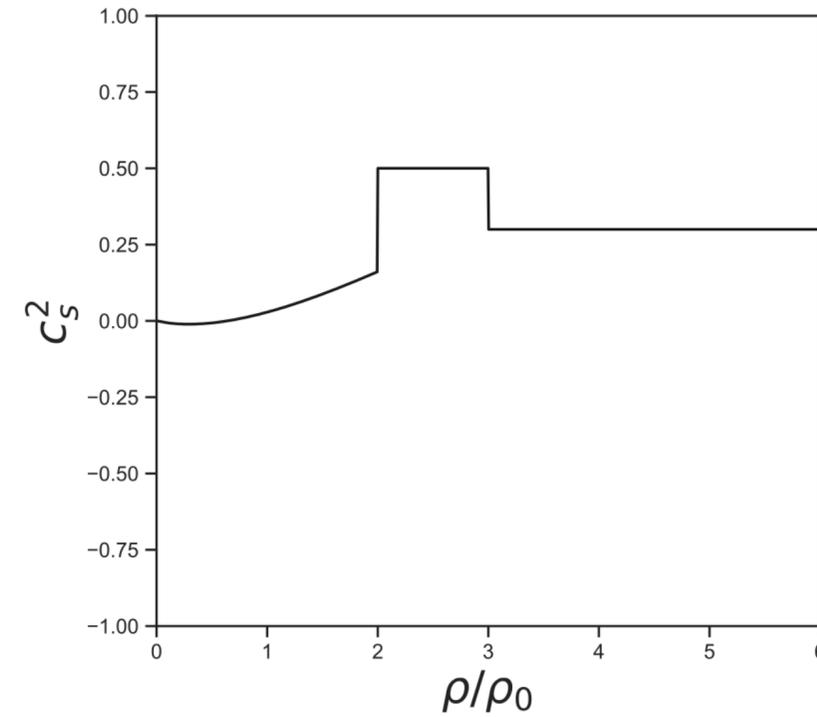
A. Sorensen, V. Koch, Phys. Rev. C **104** (2021) 3, 034904, arXiv:2011.06635



# Bayesian analysis: piecewise parametrization of $c_s^2$

Piecewise parametrization of  $c_s^2(n_B)$ :

$$c_s^2(n_B) = \begin{cases} c_s^2(\text{Skyrme}), & n_B < n_1 = 2n_0 \\ c_1^2, & n_1 < n_B < n_2 \\ c_2^2, & n_2 < n_B < n_3 \\ \dots & \\ c_m^2, & n_m < n_B \end{cases}$$



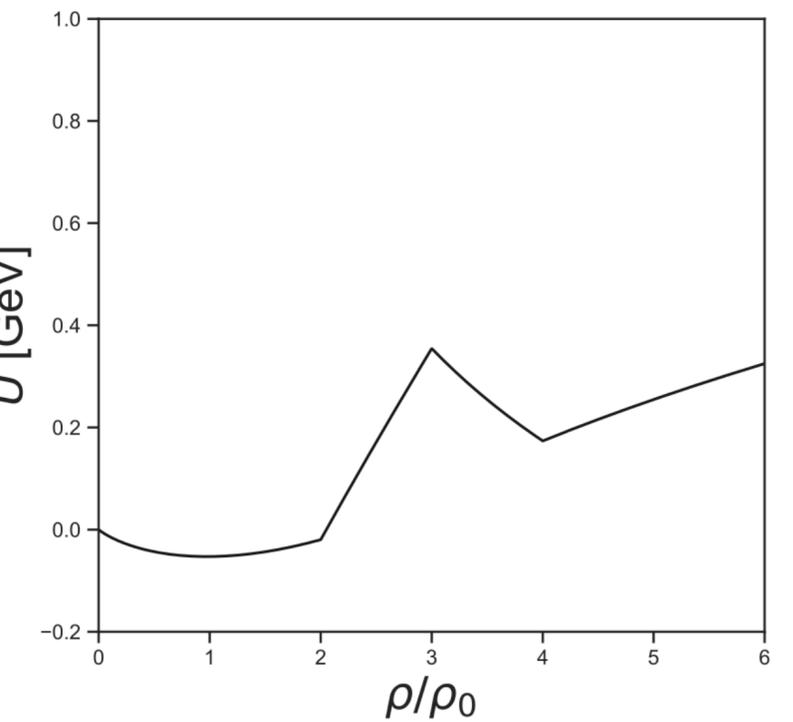
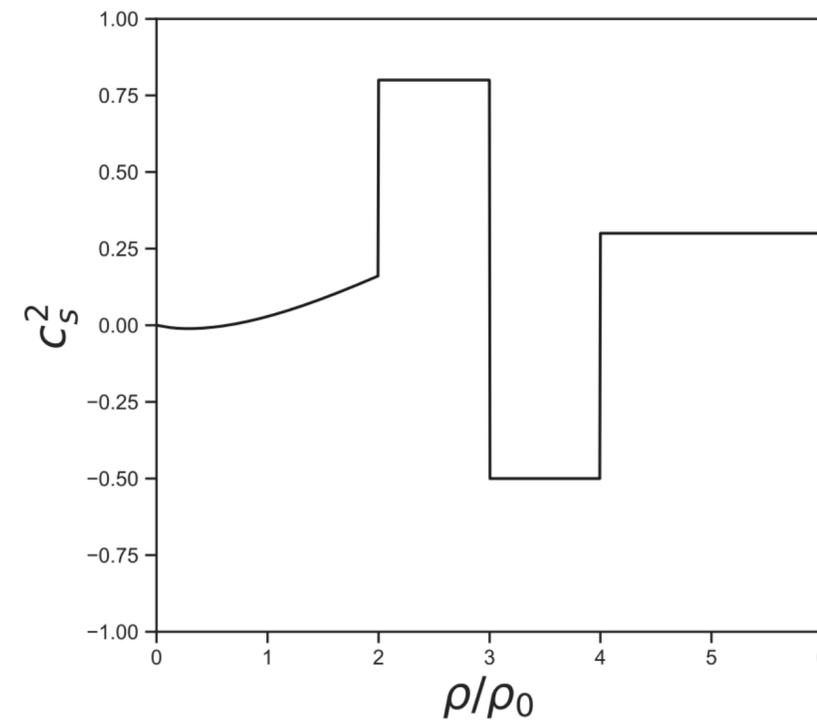
1-to-1 relation to the single-particle potential  $U(n_B)$ :

$$U(n_B) = \begin{cases} U_{\text{Sk}}(n_B) & n_B < n_1 = 2n_0 \\ U_1(n_B) & n_1 < n_B < n_2 \\ \dots & \\ U_k(n_B) & n_k < n_B < n_{k+1} \end{cases}$$

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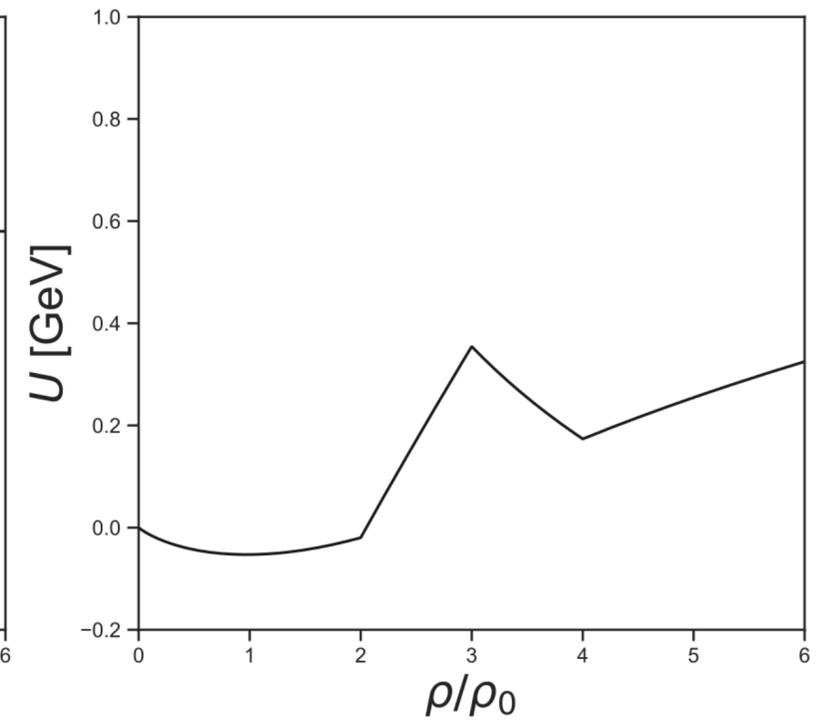
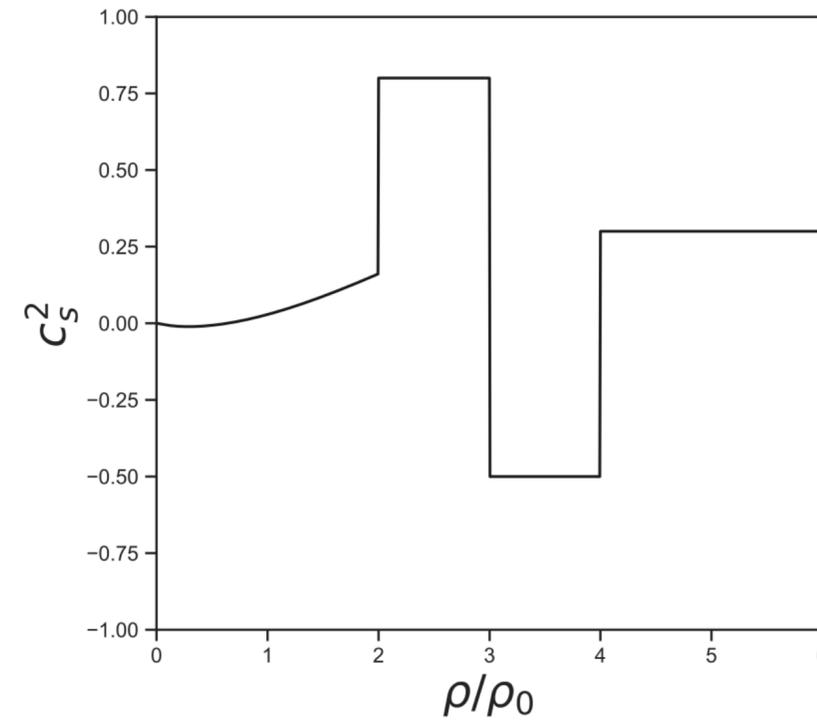
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Gradients of  $U(n_B)$  enter the EOMs  
= directly affect the evolution in simulations

# Bayesian analysis: piecewise parametrization of $c_s^2$

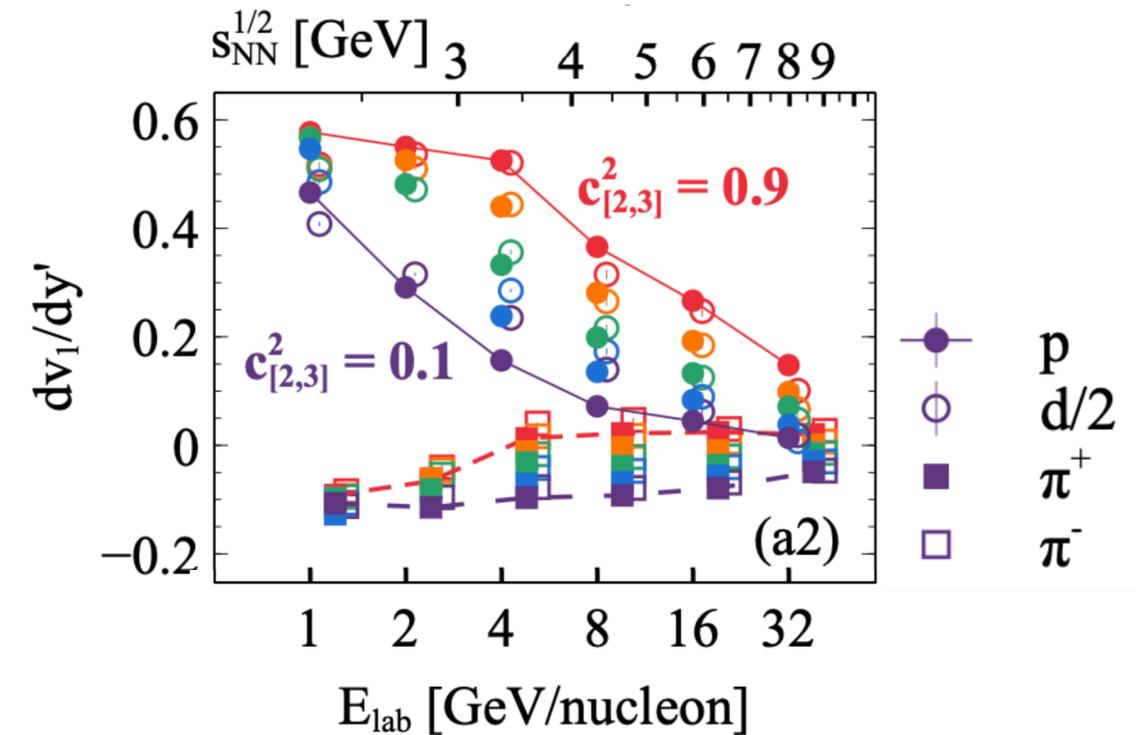
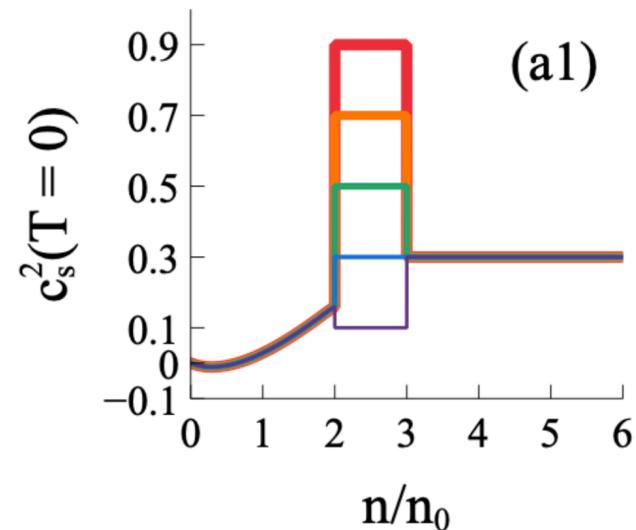
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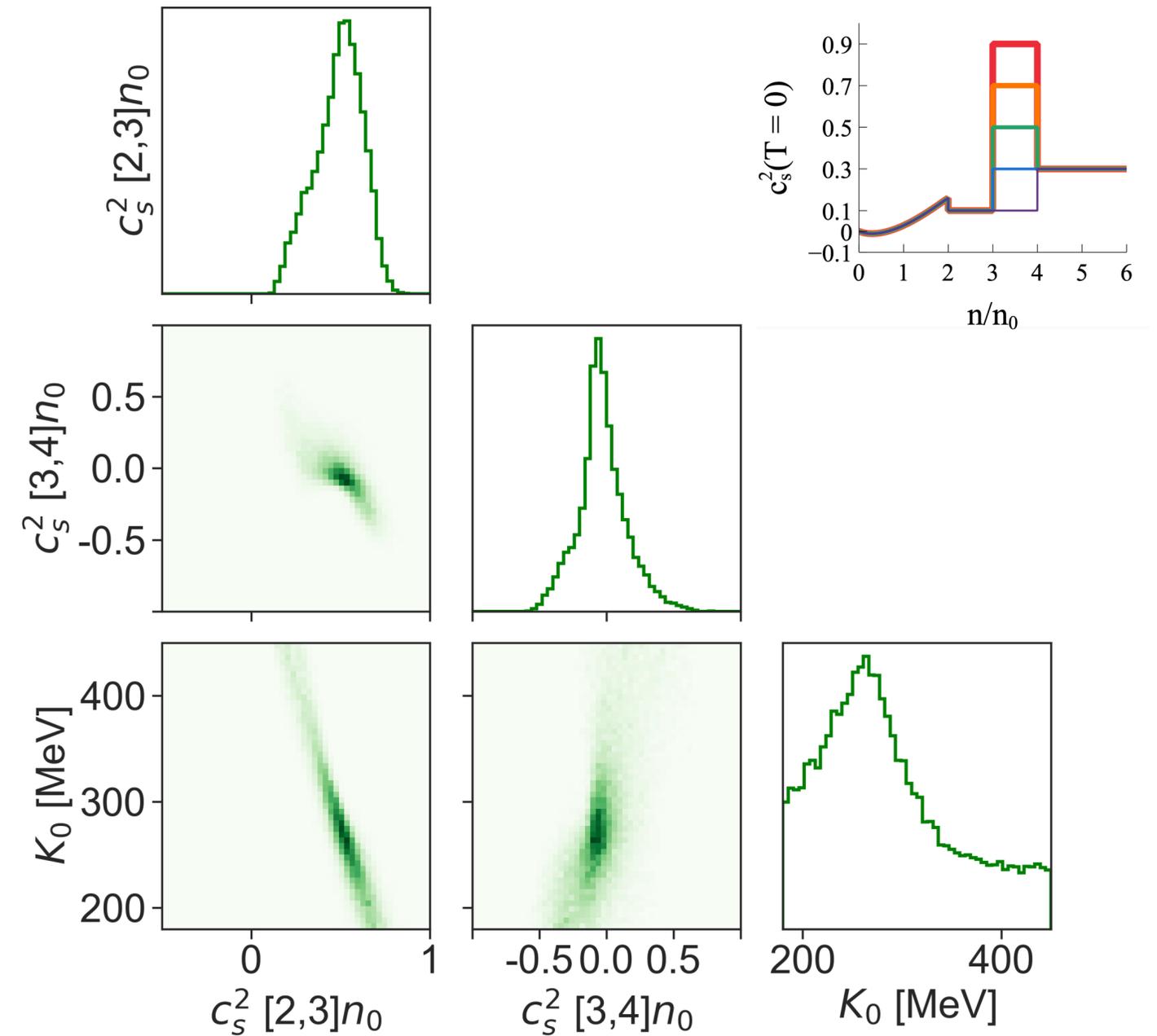
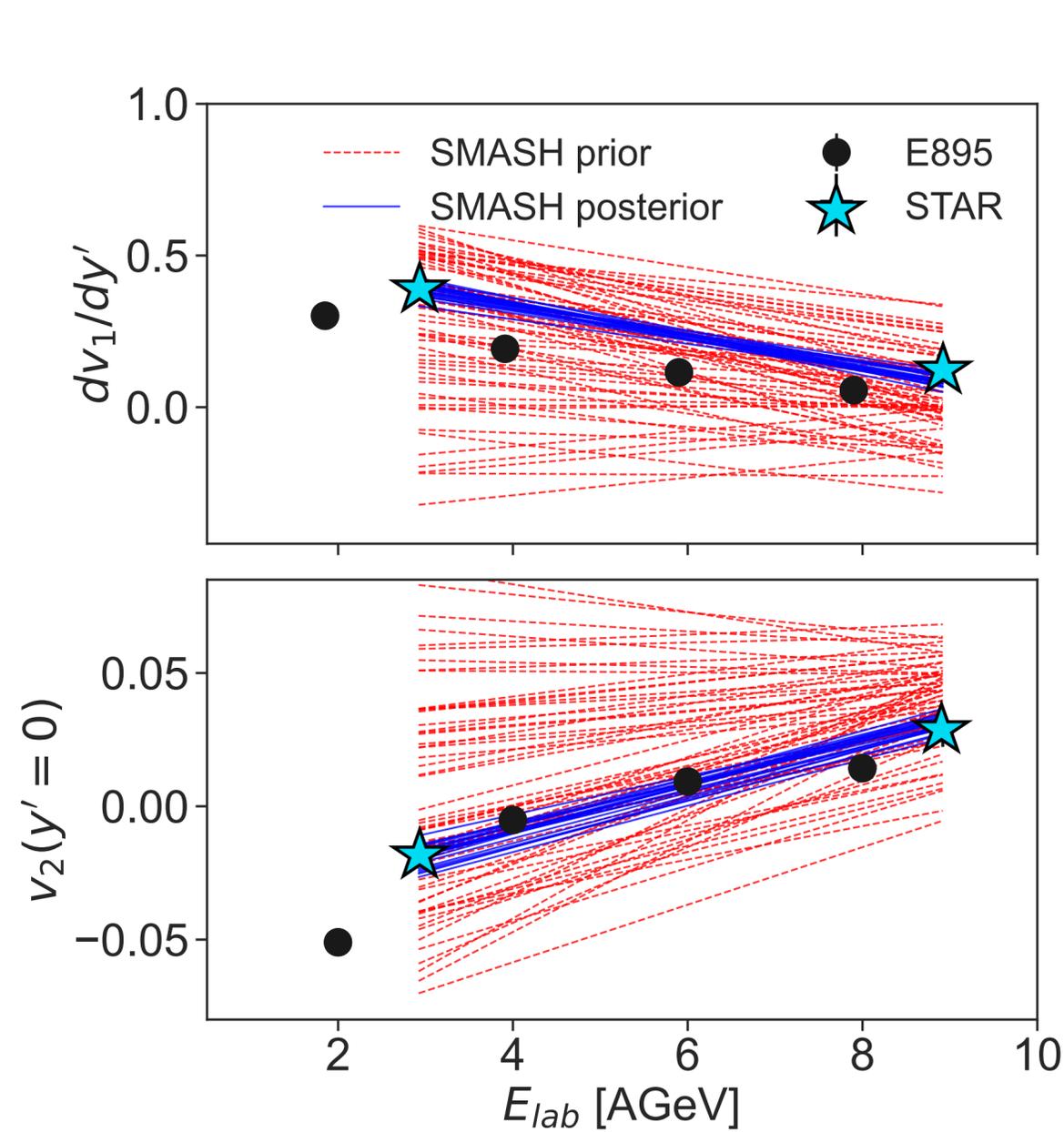
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D. Oliinychenko, A. Sorensen, V. Koch, L. McLerran,  
Phys. Rev. C **108**, 3, 034908 (2023), arXiv:2208.11996

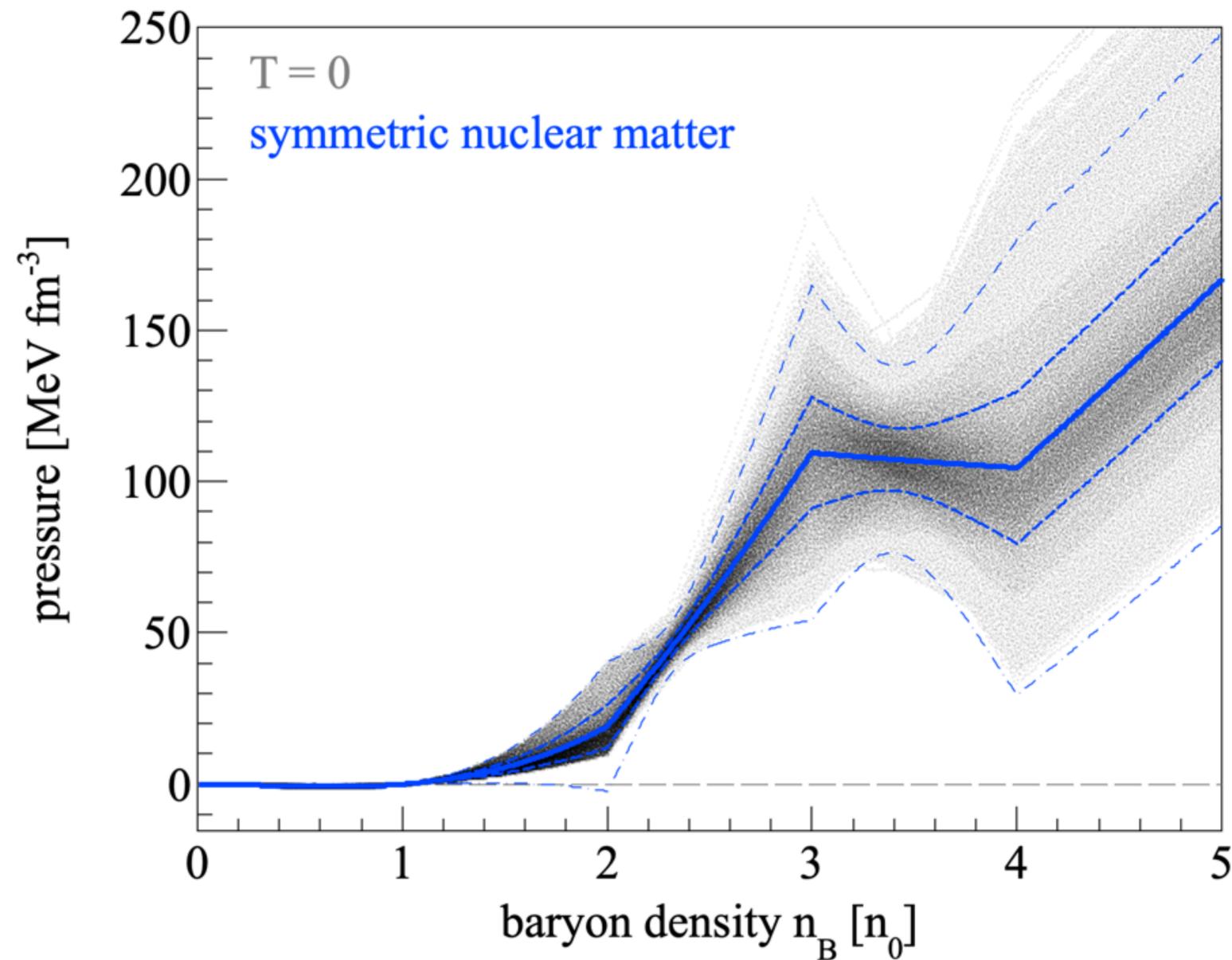
# Bayesian analysis of BES flow in BUU with varying $K_0$ , $c_{[2,3]n_0}^2$ , $c_{[3,4]n_0}^2$



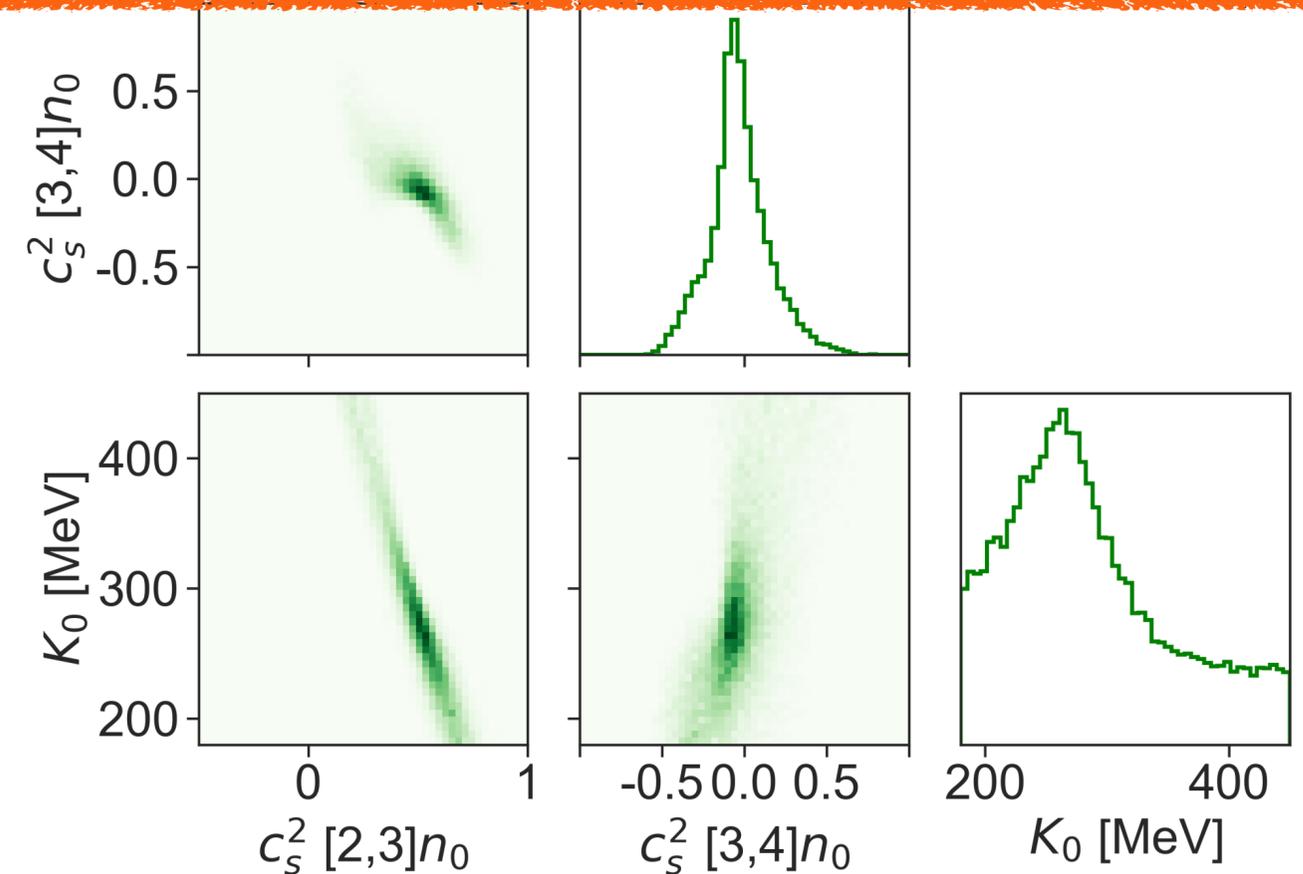
The maximum a posteriori probability (MAP) parameters are  
 $K_0 = 285 \pm 67$  MeV,  $c_{[2,3]n_0}^2 = 0.49 \pm 0.13$ ,  $c_{[3,4]n_0}^2 = -0.03 \pm 0.15$

D. Oliinychenko, **A. Sorensen**, V. Koch, L. McLerran,  
 Phys. Rev. C **108**, 3, 034908 (2023), arXiv:2208.11996

# Bayesian analysis of BES flow in BUU with varying $K_0$ , $c_{[2,3]n_0}^2$ , $c_{[3,4]n_0}^2$



The constrained EOS is very stiff at  $n_B \in (2,3)n_0$  and very soft at  $n_B \in (3,4)n_0$

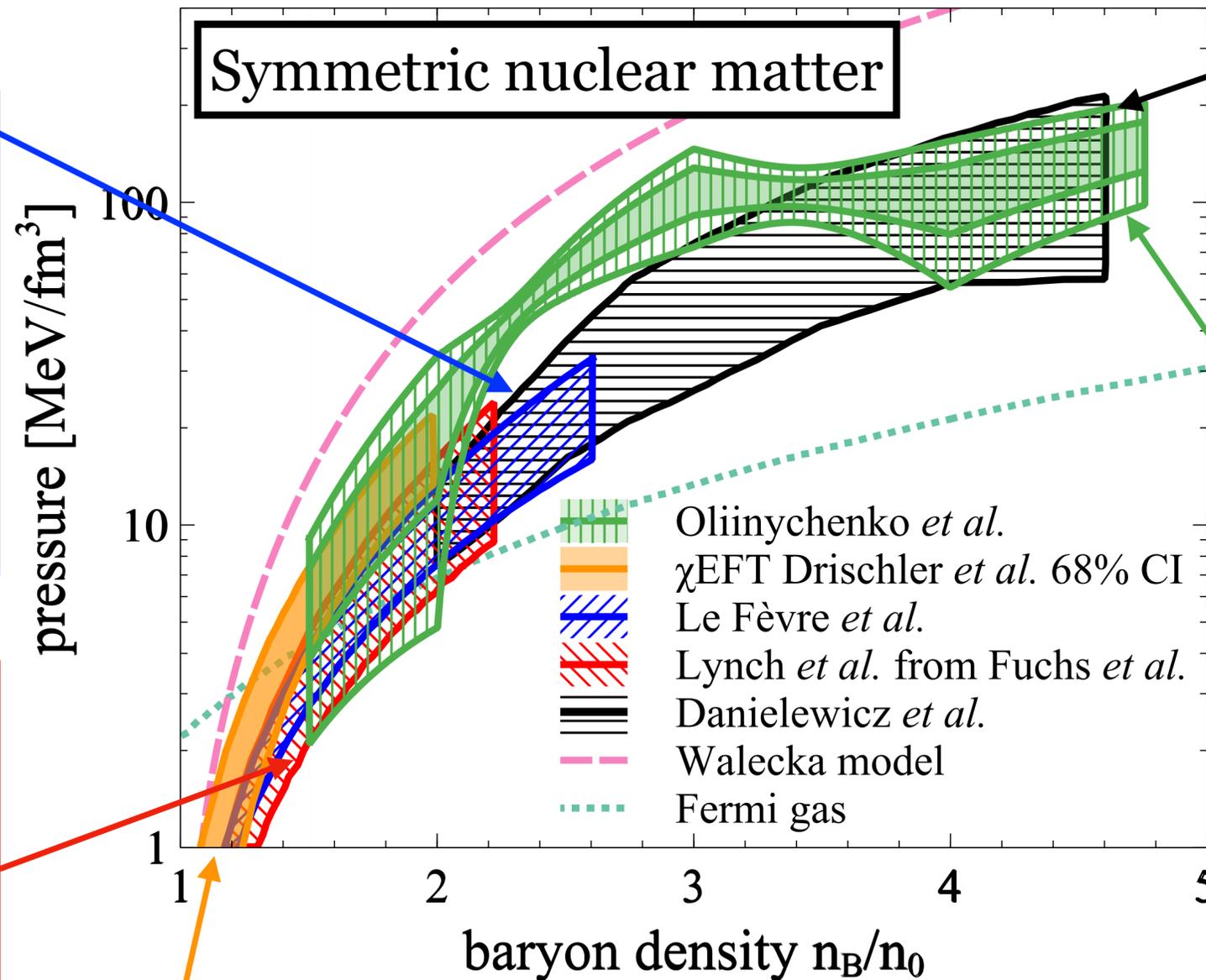


The maximum a posteriori probability (MAP) parameters are  
 $K_0 = 285 \pm 67$  MeV,  $c_{[2,3]n_0}^2 = 0.49 \pm 0.13$ ,  $c_{[3,4]n_0}^2 = -0.03 \pm 0.15$

D. Oliinychenko, **A. Sorensen**, V. Koch, L. McLerran,  
 Phys. Rev. C **108**, 3, 034908 (2023), arXiv:2208.11996

# EOS of symmetric nuclear matter: selected (*few*) results

Symmetric nuclear matter



197Au+197Au @ 0.15–10 GeV/u  
 ( $\sqrt{s_{NN}} = 1.95 - 4.72$  GeV)  
 observables: proton flow  
 (Plastic Ball, EOS, E877, E895)  
 model used: **pBUU** w/ nucleons,  $\Delta$ ,  
 $N^*(1440)$ , pions;  
 EOS parametrized by  $K_0$ ;  
 momentum dependence  
 P. Danielewicz, R. Lacey, W. G. Lynch,  
 Science **298**,1592–1596 (2002)

197Au+197Au @ 2.9–9 GeV/u  
 ( $\sqrt{s_{NN}} = 3 - 4.5$  GeV)  
 observables: proton flow (STAR)  
 model used: SMASH w/ over 120 hadronic  
 species, including deuterons;  
 relativistic EOS parametrized independently in  
 different density regions;  
**NO momentum dependence**  
 D. Oliinychenko, **A. Sorensen**, V. Koch,  
 L. McLerran, Phys. Rev. C **108**, 3, 034908  
 (2023), arXiv:2208.11996

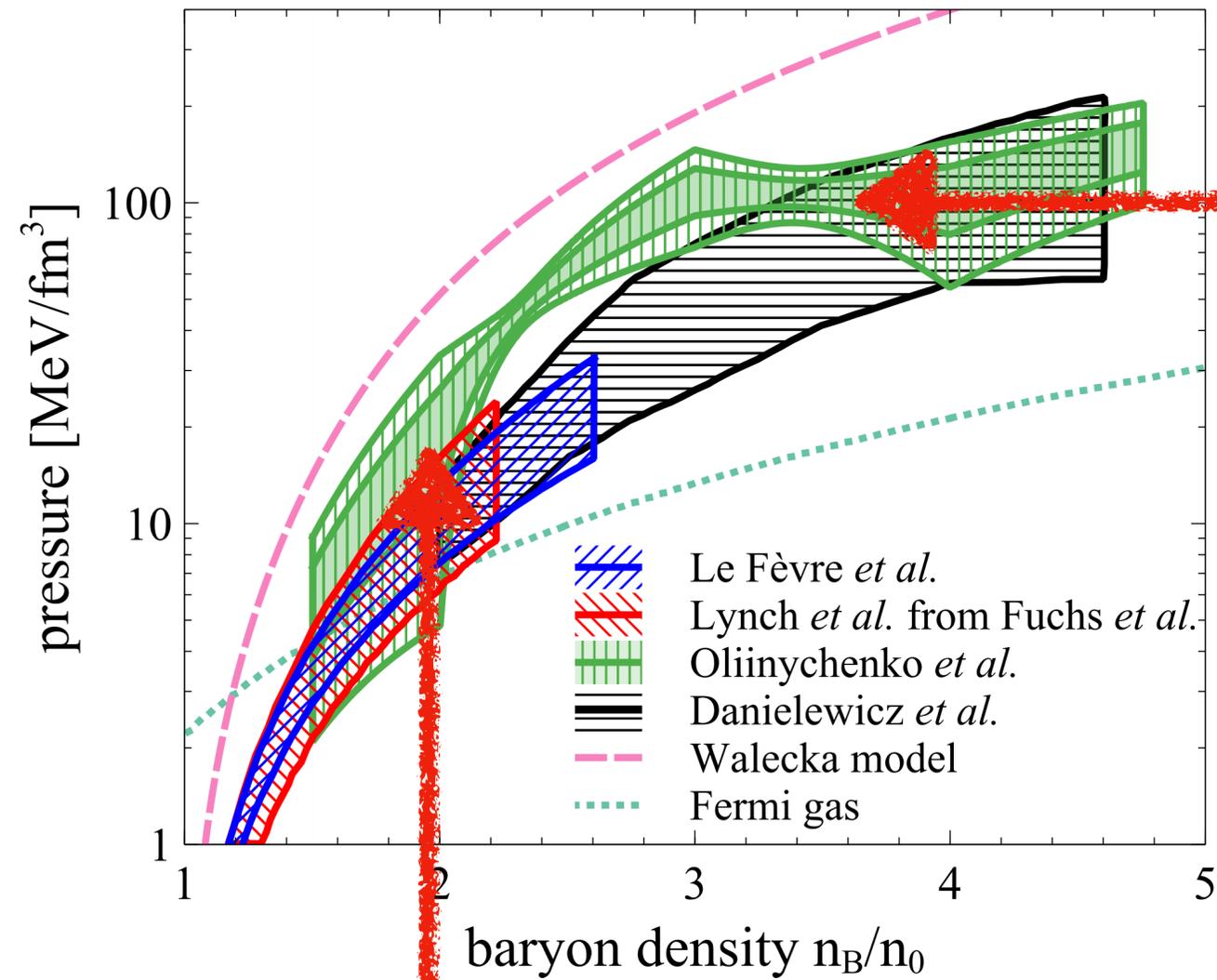
197Au+197Au @ 0.4–1.5 GeV/u  
 ( $\sqrt{s_{NN}} = 2.07 - 2.52$  GeV)  
 observables: proton flow (FOPI)  
 model used: **isospin QMD (IQMD)** w/  
 nucleons,  $\Delta$ ,  $N^*(1440)$ , deuterons, tritons;  
 EOS parametrized by  $K_0$ ;  
 momentum dependence  
 A. Le Fèvre, Y. Leifels, W. Reisdorf, J.  
 Aichelin, C. Hartnack, Nucl. Phys. A **945**,  
 112 (2016), arXiv:1501.05246

197Au+197Au & 12C+12C @ < 1.5 GeV/u  
 ( $\sqrt{s_{NN}} < 2.5$  GeV)  
 observables: subthreshold kaon production  
 (KaoS)  
 model used: **QMD** w/ nucleons,  $\Delta$ ,  
 $N^*(1440)$ , pions, kaons;  
 EOS parametrized by  $K_0$ ;  
 kaon potentials, momentum dependence  
 C. Fuchs *et al.*, Prog. Part. Nucl. Phys. **53**,  
 113–124 (2004) arXiv:nucl-th/0312052

**A. Sorensen et al.**, Prog. Part. Nucl. Phys. **134**, 104080 (2024)  
 arXiv:2301.13253

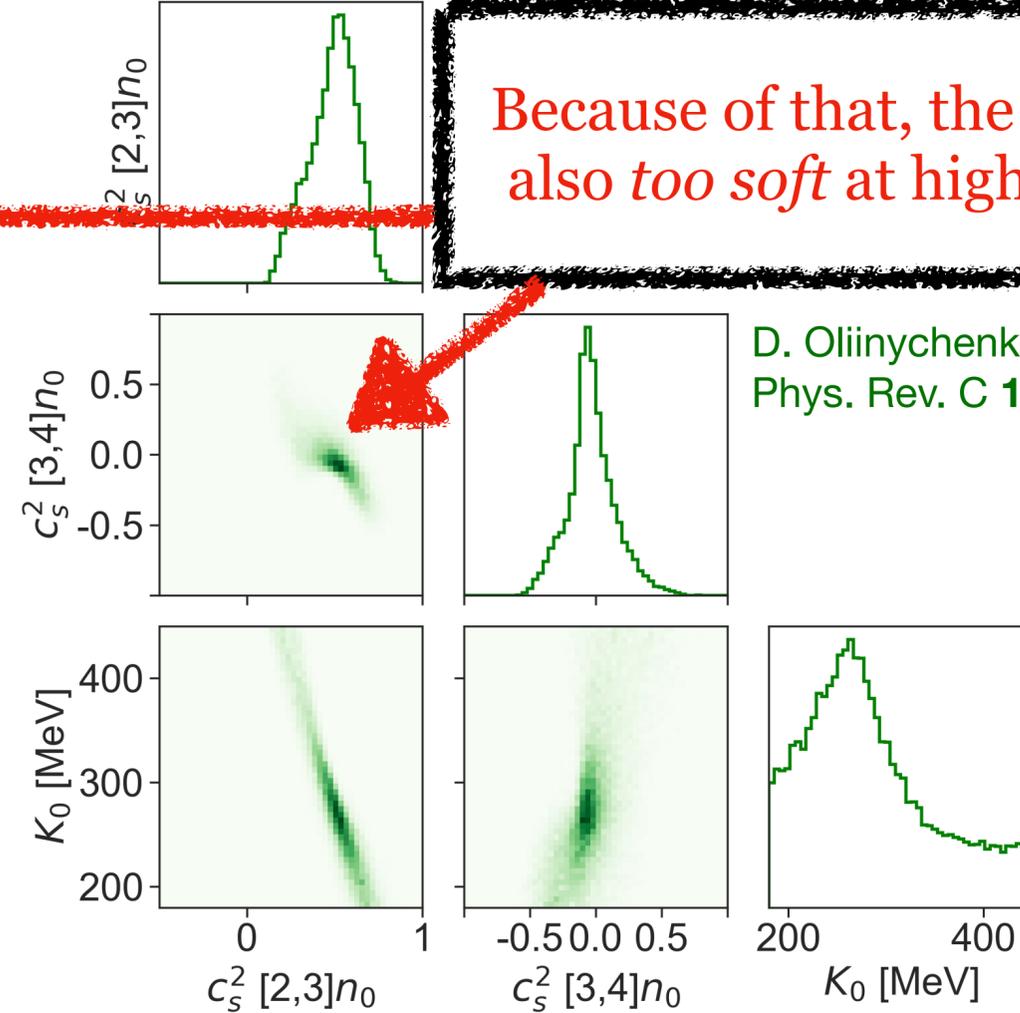
$\chi$ EFT  
 C. Drischler *et al.*, Phys. Rev. C **102** 5, 054315 (2020)  
 arXiv:2004.07805

# Momentum-dependence of nuclear matter interactions



A. Sorensen *et al.*, Prog. Part. Nucl. Phys. **134**, 104080 (2024)  
arXiv:2301.13253

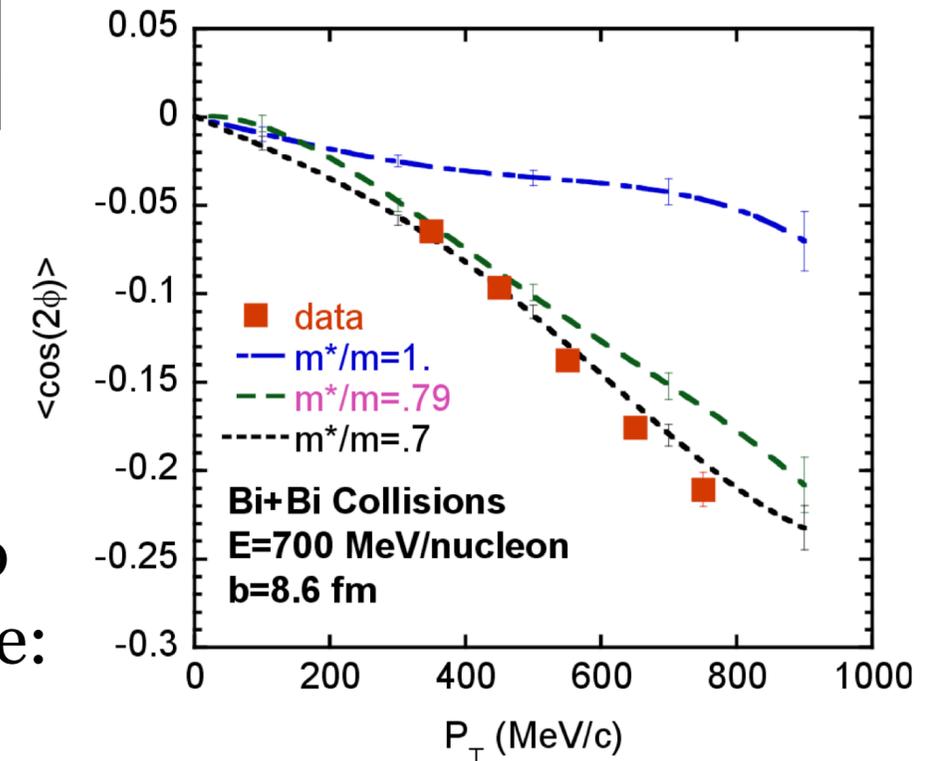
Without momentum dependence, “artificial” additional source of repulsion is needed = the extracted EOS is too stiff?



Because of that, the EOS may be also *too soft* at higher densities

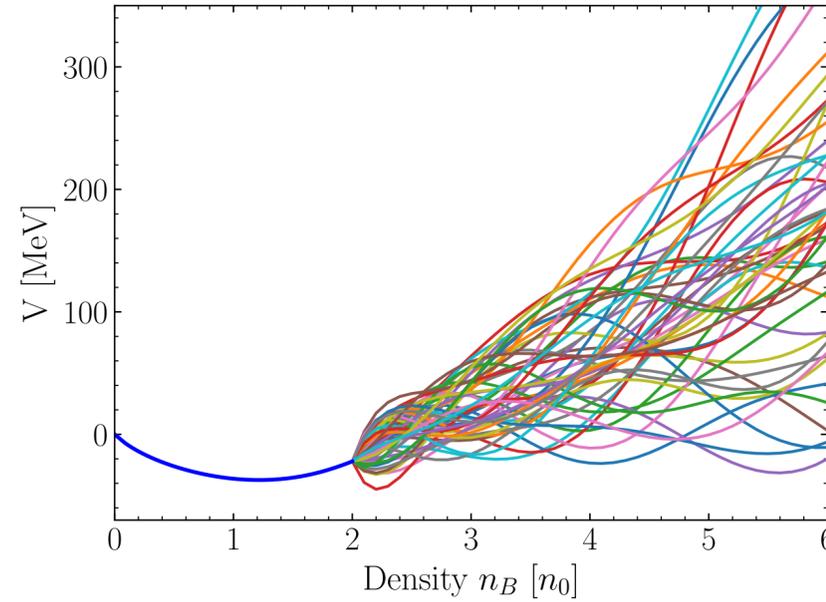
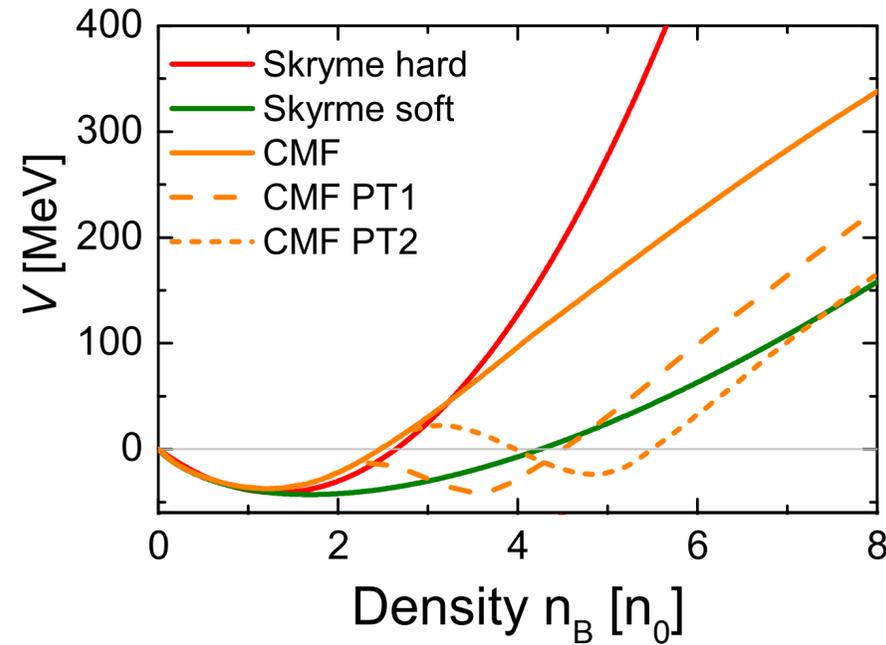
D. Oliinychenko, A. Sorensen, V. Koch, L. McLerran, Phys. Rev. C **108**, 3, 034908 (2023), arXiv:2208.11996

P. Danielewicz, R. Lacey, and W. G. Lynch, Science **298**, 1592 (2002), arXiv:0208016



Use peripheral collisions to constrain the  $p$ -dependence:

# Bayesian analysis of flow data in UrQMD



M. Omana Kuttan, J. Steinheimer, K. Zhou, H. Stoecker,  
 Phys. Rev. Lett. **131** 20, 202303 (2023)  
 arXiv:2211.11670

$$V(n_B) = \begin{cases} V_{\text{CMF}} & n_B \leq 2n_0 \\ \sum_{i=1}^7 \theta_i \left(\frac{n_B}{n_0} - 1\right)^i + C & n_B > 2n_0 \end{cases}$$

proton mean transverse kinetic energy  $\langle m_T \rangle - m_0$ :

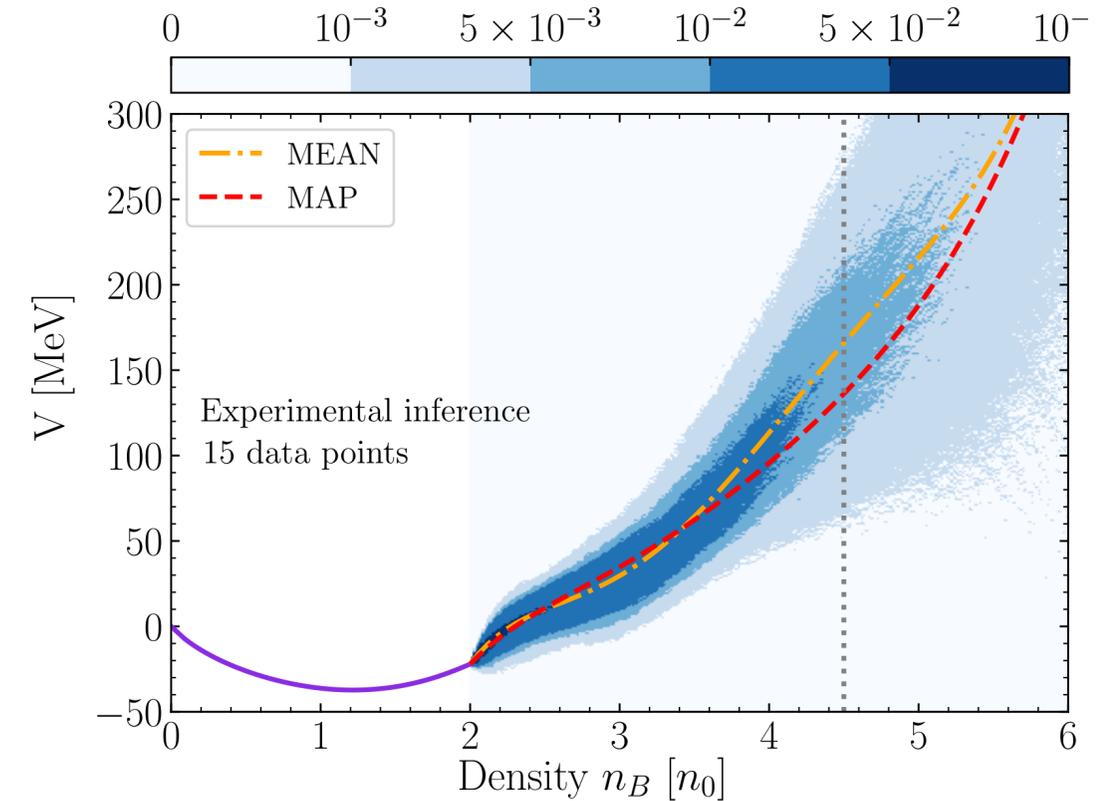
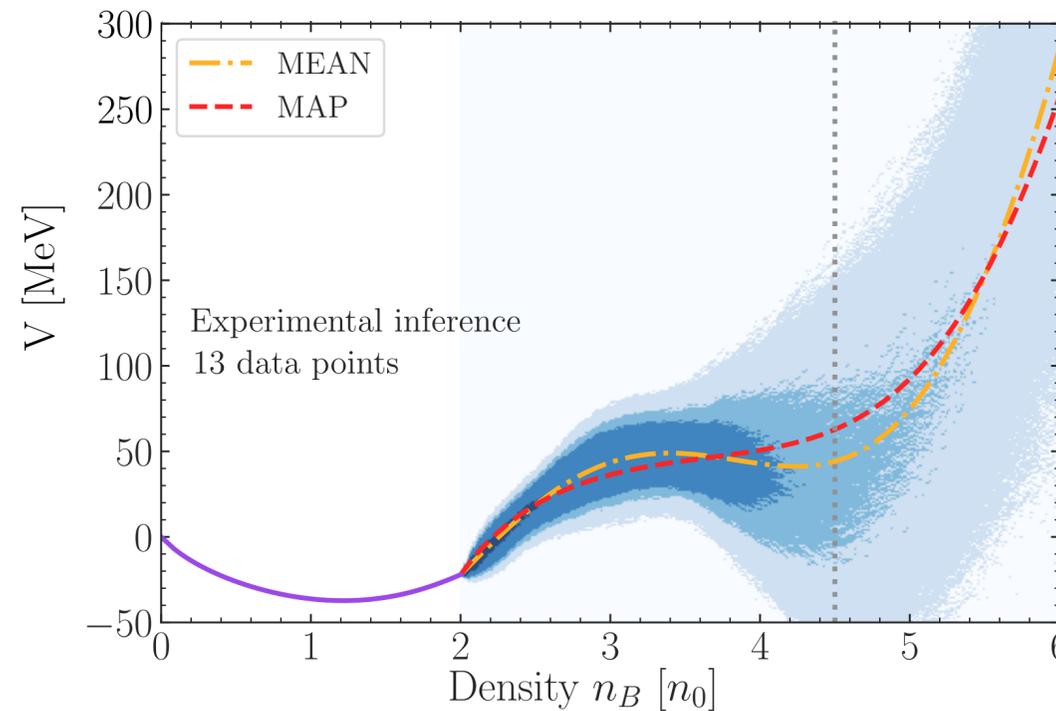
$$\sqrt{s_{\text{NN}}} \in [3.83, 8.86] \text{ GeV}$$

proton elliptic flow  $v_2$  at midrapidity:

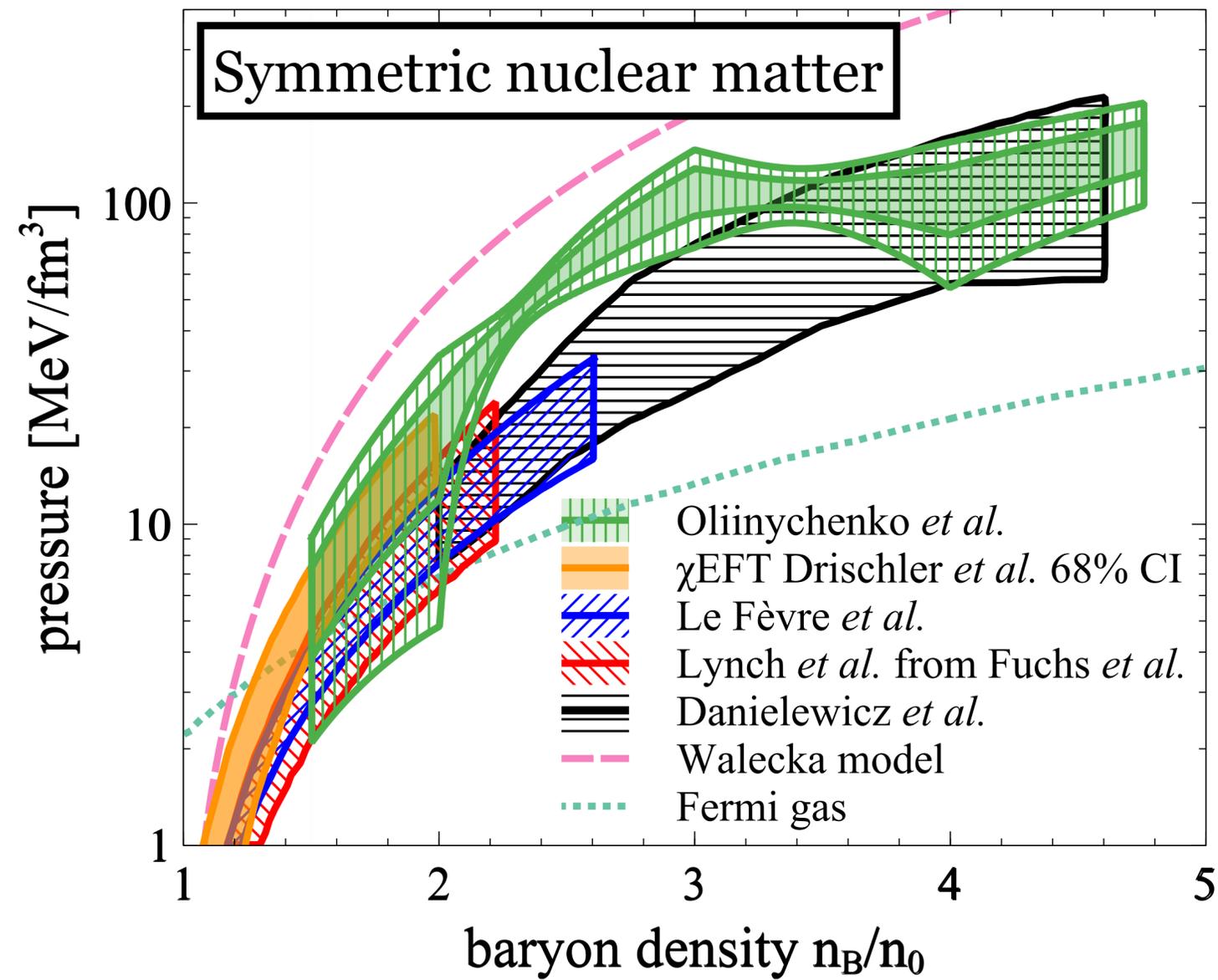
$$\sqrt{s_{\text{NN}}} \in [2.24, 4.72] \text{ GeV}$$

13 points = excluding  $\langle m_T \rangle - m_0$  at the two lowest collision energies

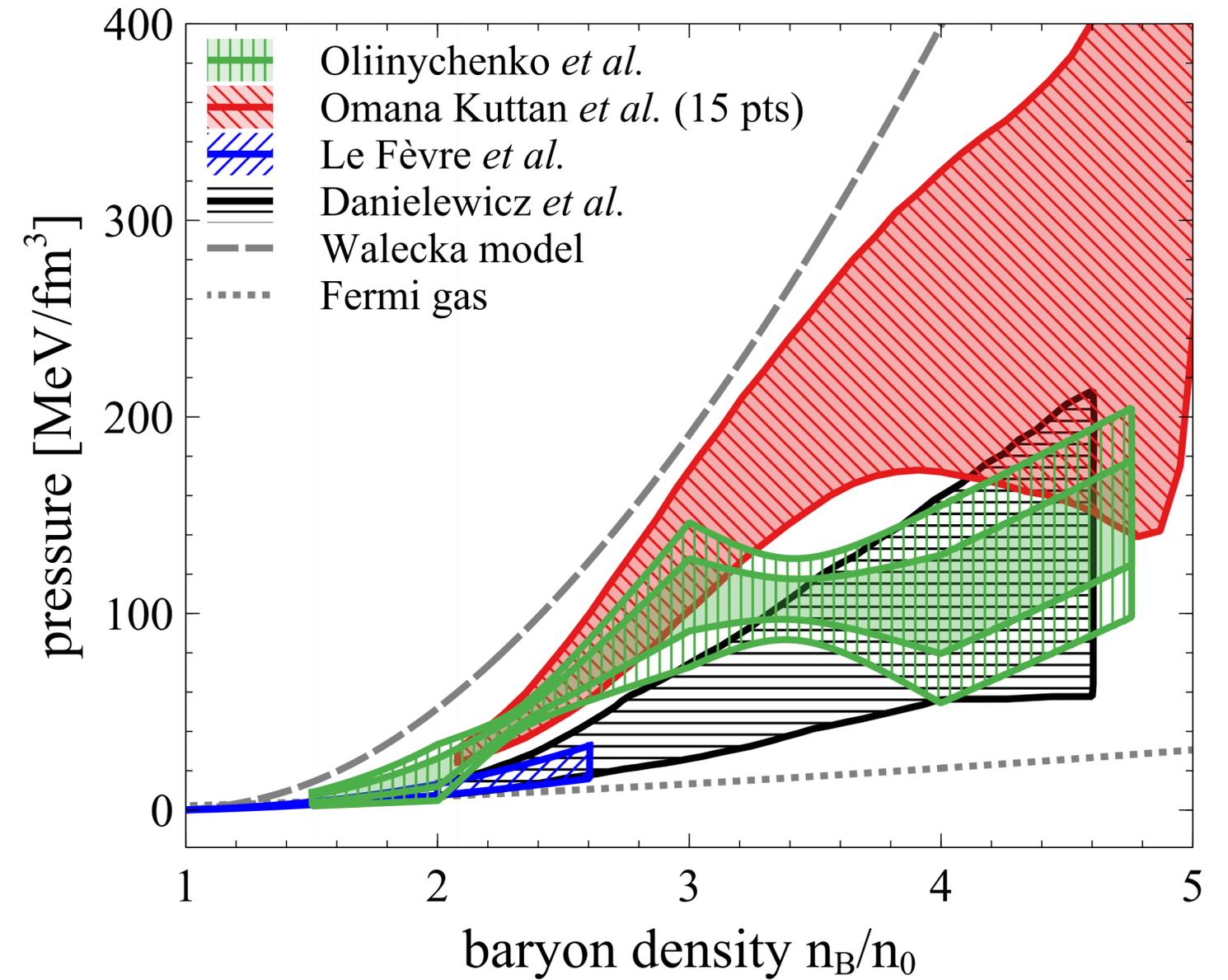
$$\sqrt{s_{\text{NN}}} = 3.83, 4.29 \text{ GeV}$$



# EOS of symmetric nuclear matter: selected (*few*) results

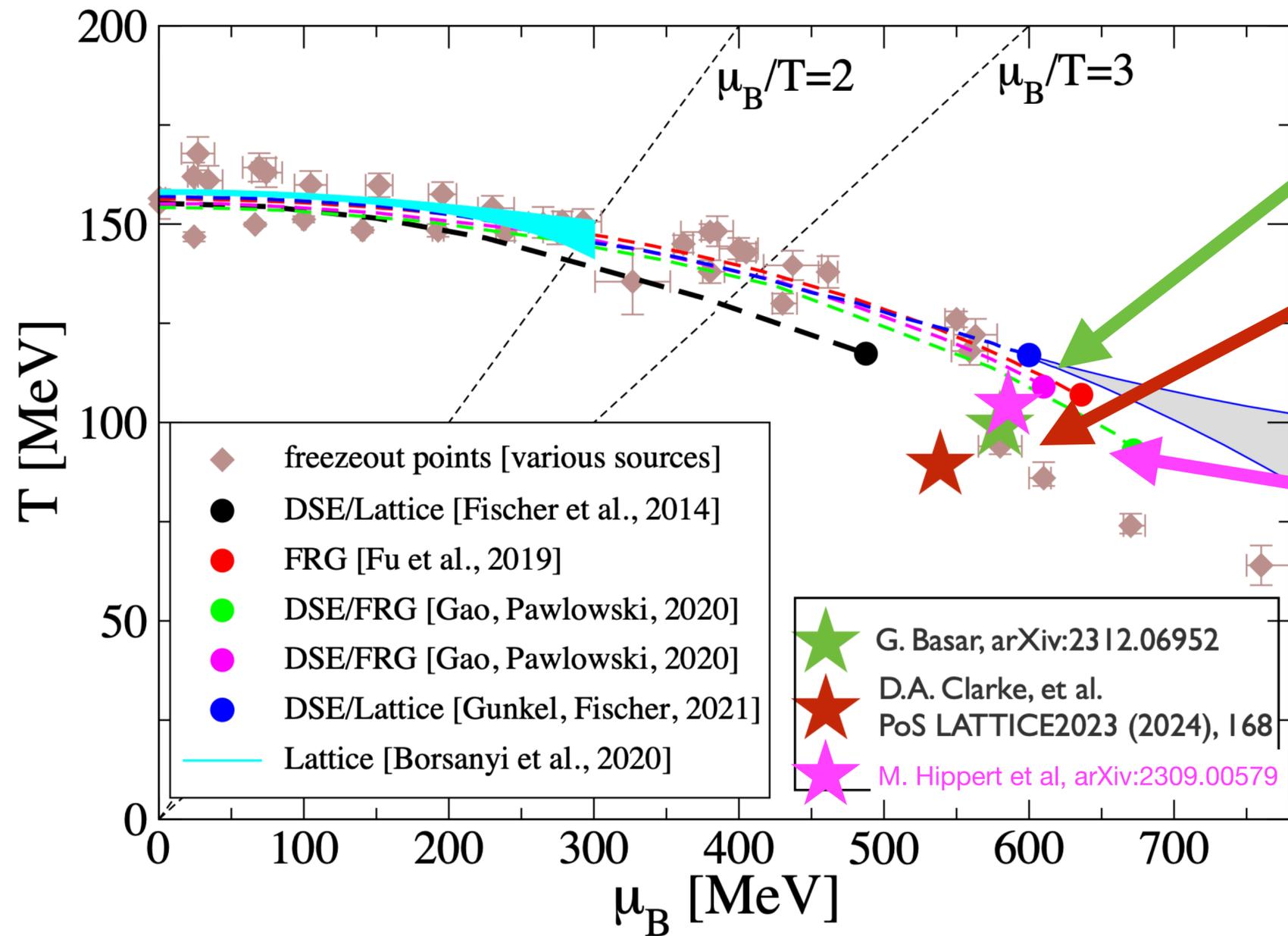


A. Sorensen *et al.*, Prog. Part. Nucl. Phys. **134**, 104080 (2024)  
arXiv:2301.13253



L. Du, A. Sorensen, M. Stephanov, Int. J. Mod. Phys. E  
(available online), arXiv: 2402.10183

# The QCD critical point: recent theoretical developments



tracing Yang-Lee edge singularities with:

imaginary baryon chemical potential

G. Basar, Phys. Rev. C **110**, 015203 (2024), arXiv:2312.06952

Taylor series expansion coefficients

D. A. Clarke et al., arXiv:2405.10196

holography w/ LQCD EOS at  $\mu_B = 0$

M. Hippert et al., arXiv:2309.00579

Dramatic change for the field:  
we need *evidence that withstands comparison with theory*

# The QCD CP from finite-size scaling: universal behavior

Near CP:

$$c_\infty(t,0) \sim |t|^{-\alpha}$$

$$\xi_\infty(t,0) \sim |t|^{-\nu}$$

$$\tilde{n}_\infty(t,0) \sim (-t)^\beta$$

$$\xi_\infty(0,m) \sim |m|^{-\nu_c}$$

$$\tilde{n}_\infty(0,m) \sim m^{\frac{1}{\delta}}$$

$$\chi_\infty(t,0) \sim |t|^{-\gamma}$$

$$t \equiv \frac{T - T_c}{T_c}$$

$$m \equiv \frac{\mu - \mu_c}{\mu_c}$$

For a thermodynamic quantity  $X \sim |t|^{-\sigma}$ :  $X_\infty(t) \sim |t|^{-\sigma} \sim [\xi_\infty(t)]^{\frac{\sigma}{\nu}}$

Scaling is not unique to critical phenomena, e.g., Kepler's third law!  
The orbital period of a planet scales as the cube of the semi-major axis of its orbit:

$$P^2 = a^3$$

The important question for scaling is: **what is the scale relevant to the problem?**

# The QCD CP from finite-size scaling: universal behavior

Near CP:

$$c_\infty(t,0) \sim |t|^{-\alpha}$$

$$\xi_\infty(t,0) \sim |t|^{-\nu}$$

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For a thermodynamic quantity  $X \sim |t|^{-\sigma}$ :  $X_\infty(t) \sim |t|^{-\sigma} \sim [\xi_\infty(t)]^{\frac{\sigma}{\nu}}$

CP: infinite volume concept

In real world  $\xi$  does not go to infinity = thermodynamic functions do not exhibit singularities

$\xi$  is bound by the size of the system  $L \Rightarrow X_L(t_L) \sim L^{\frac{\sigma}{\nu}}$

$$\Rightarrow X_L(t_L) = L^{\frac{\sigma}{\nu}} \phi(t, L) = L^{\frac{\sigma}{\nu}} \phi(tL^{\frac{1}{\nu}})$$

$$\Rightarrow X_L(t_L)L^{-\frac{\sigma}{\nu}} = \phi(tL^{\frac{1}{\nu}})$$

one can find CP by plotting

# Finite size vs. window size

$$X_L(t_L)L^{-\frac{\sigma}{\nu}} = \phi(tL^{\frac{1}{\nu}})$$

Finite-size scaling (original): change the size of the system, calculate  $X_L(t_L)$ , repeat

However: changing SIZE is not always possible or doesn't probe the same system (bird flocks, heavy-ions)

**Solution: study the dependence of  $X$  on the size of the *subsystem* that is considered**

D. Martin, T. Ribeiro, S. Cannas, *et al.*, Box scaling as a proxy of finite size correlations, Sci Rep 11, 15937 (2021)

**What are the scales relevant to the problem?**

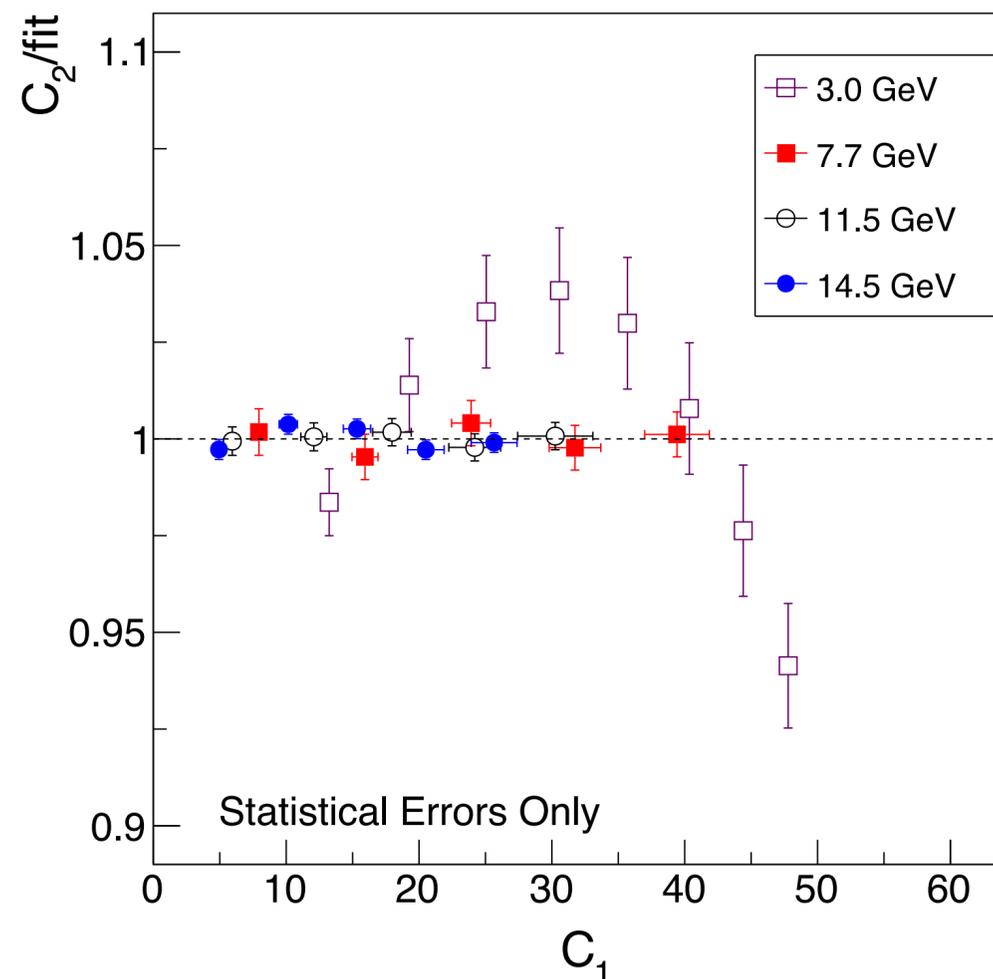
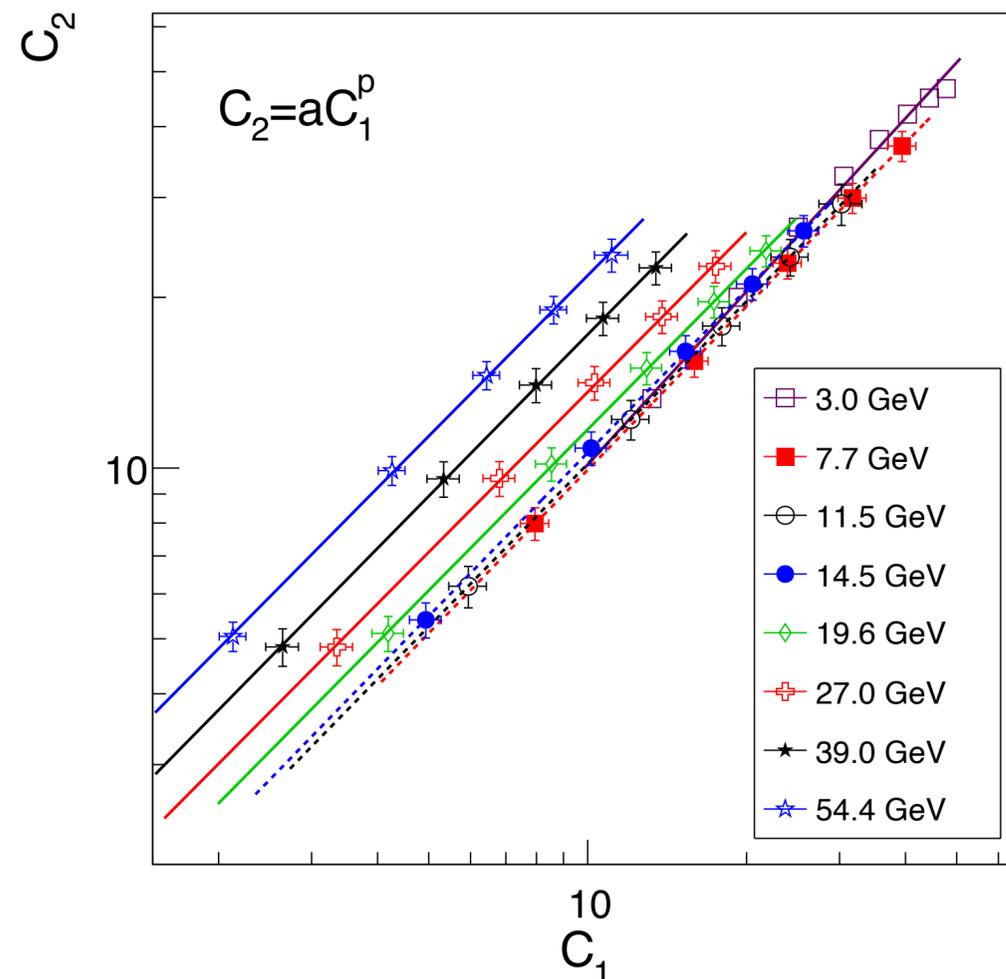
system size = rapidity window  $W$ , temperature, chemical potential

# The QCD CP from finite-size scaling: Where can we expect scaling?

- For fluids far from the critical region, a mean-field treatment is good enough. The transition between the critical scaling region, intermediate scaling region, and extended scaling region has been studied: for fluids, the extended scaling region essentially covers the entire phase diagram where fluctuation contributions are small but finite.

M.A. Anisimov, S.B. Kiselev, J.V. Sengers, S.Tang, Crossover approach to global critical phenomena in fluids, Physica A 188, 4 (1992)

- In the region of the phase diagram where the bulk of the evolution is well described by a scale free theory (hydrodynamics), the data follows Taylor's Law:  $\sigma^2 = a\lambda^p$  (scale free)



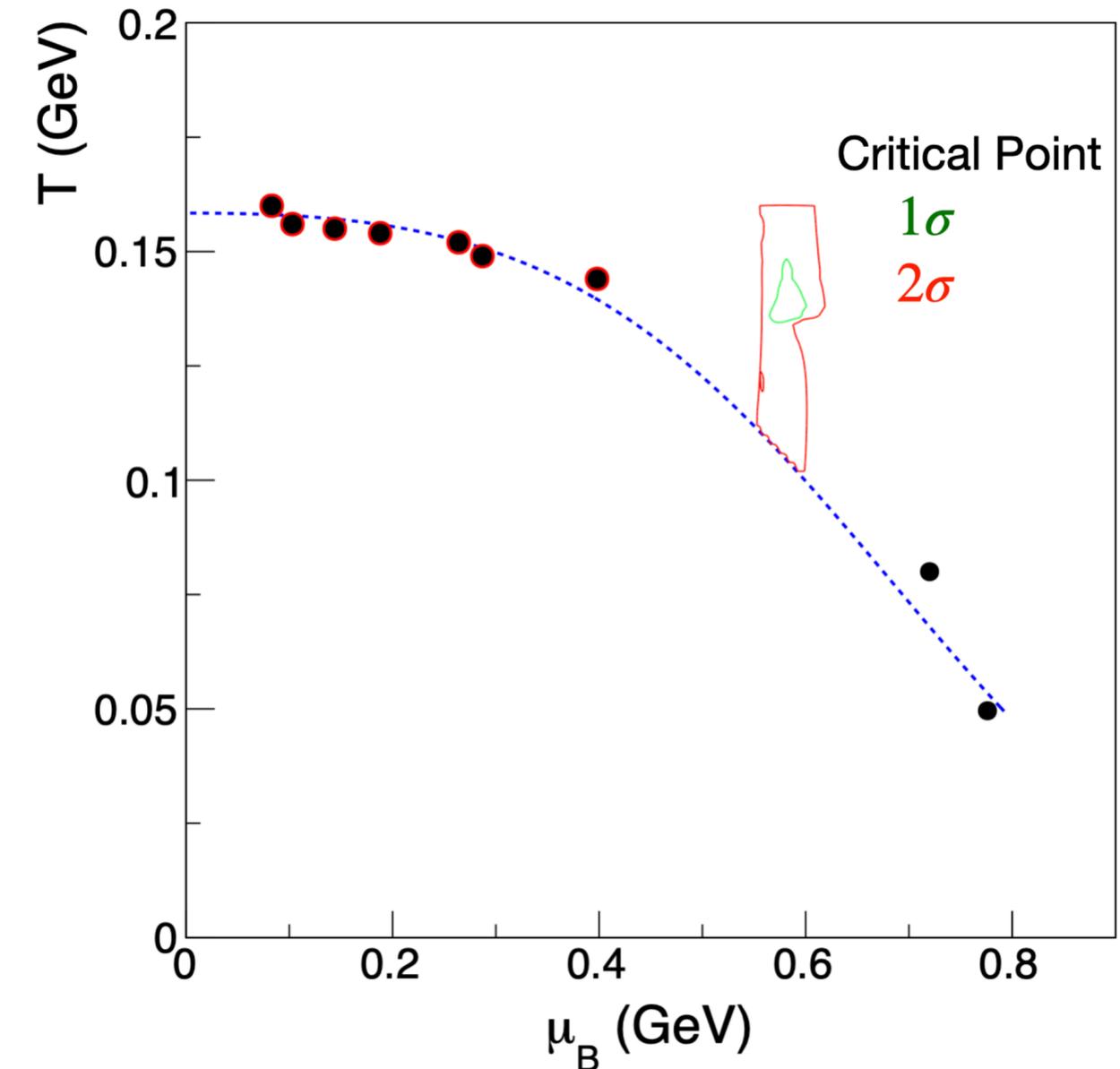
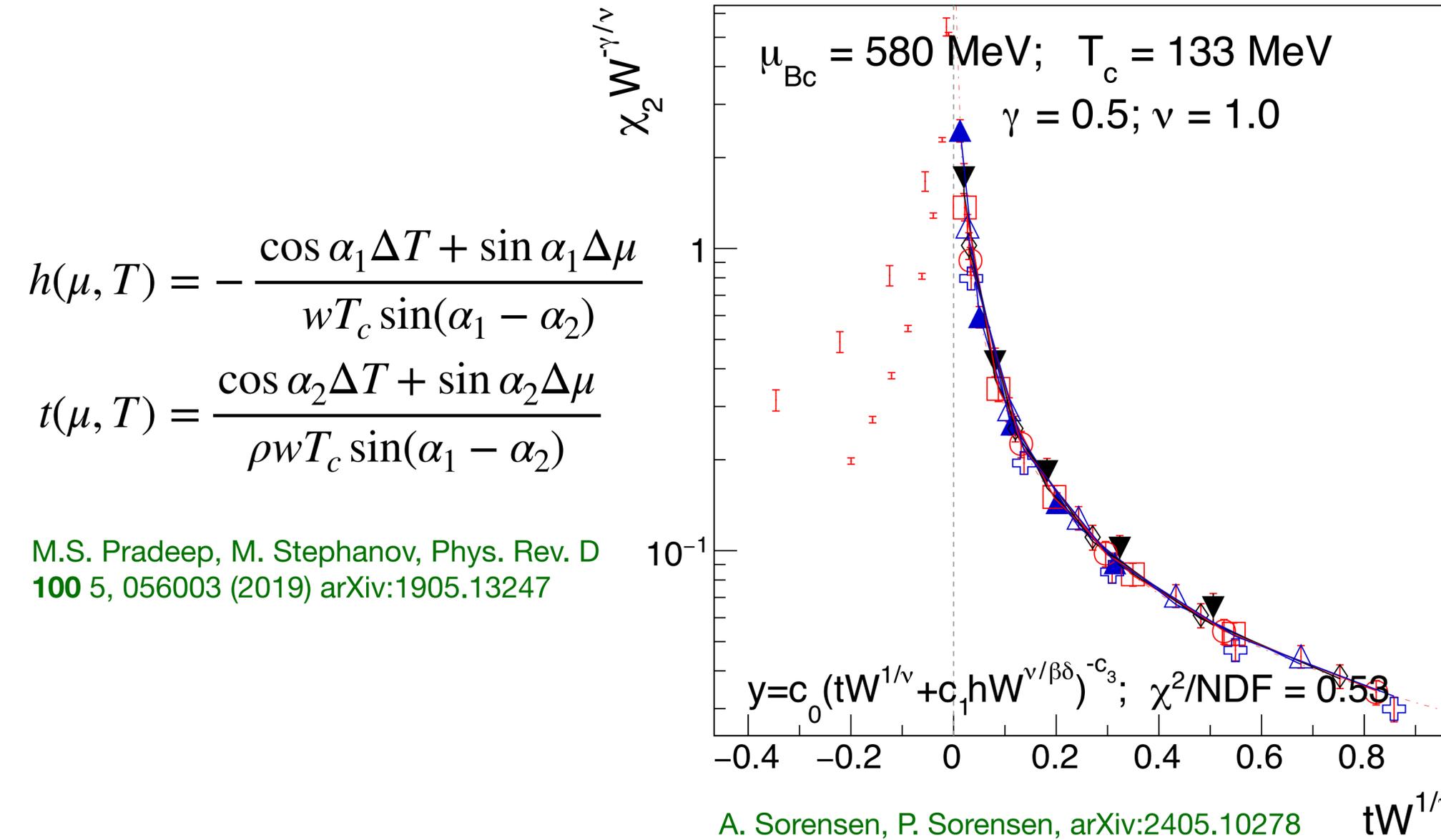
$$C_2 = aW^p$$

$$C_2 = a(xW)^p = ax^pW^p = a'W^p$$

where  $C_1 \propto W$  in this energy range

Scale invariance supports the applicability of FSS (not for collisions at 3 GeV)

# The QCD CP from finite-size scaling: 2-D fit w/ mean-field exponents



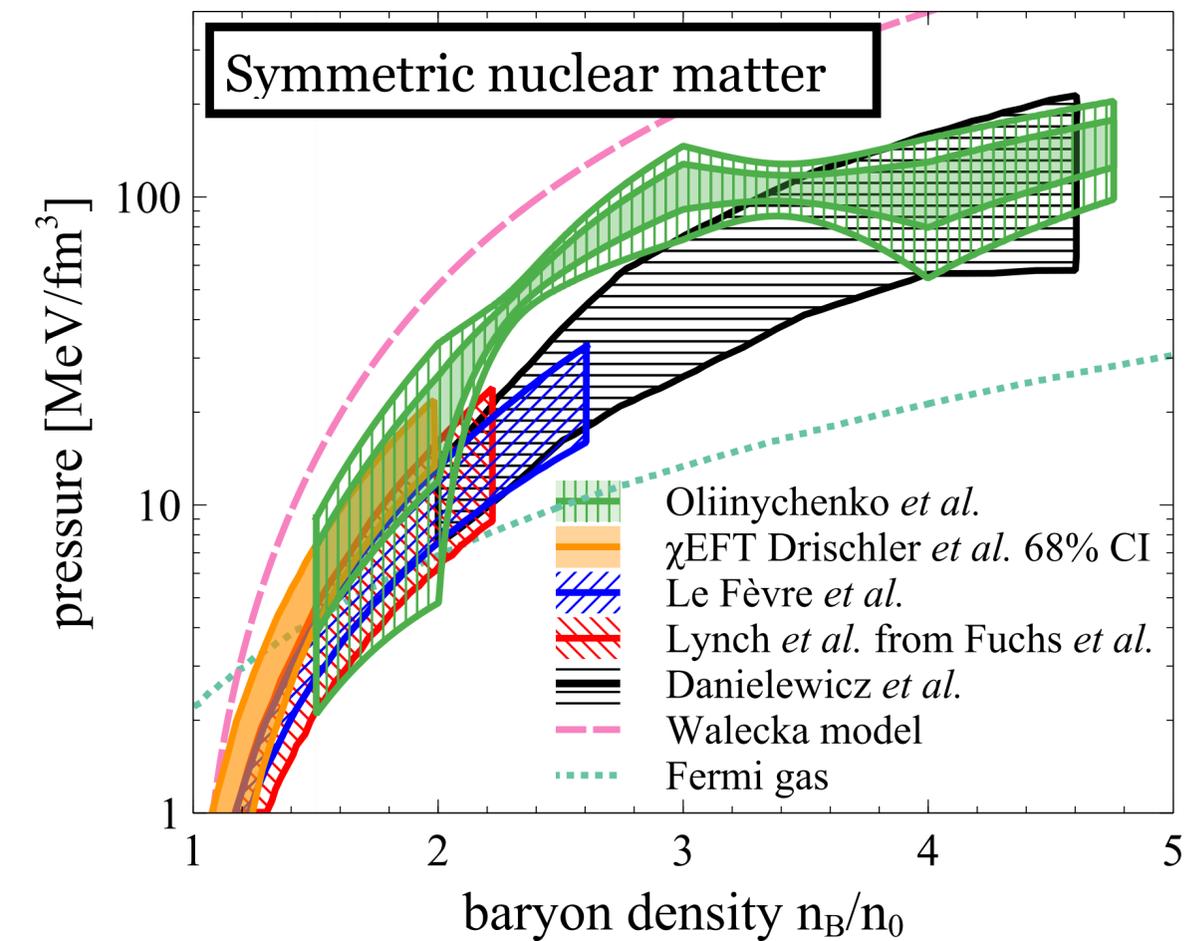
Using mean field exponents, we find scaling for  $555 < \mu_{B,c} < 610 \text{ MeV}$ ;  $T_c$  only constrained by “plausibility” (below  $T_{pc}(\mu_B = 0)$  and above  $T_{fo}$ )

Chi-square contours identify an allowed region in the phase diagram

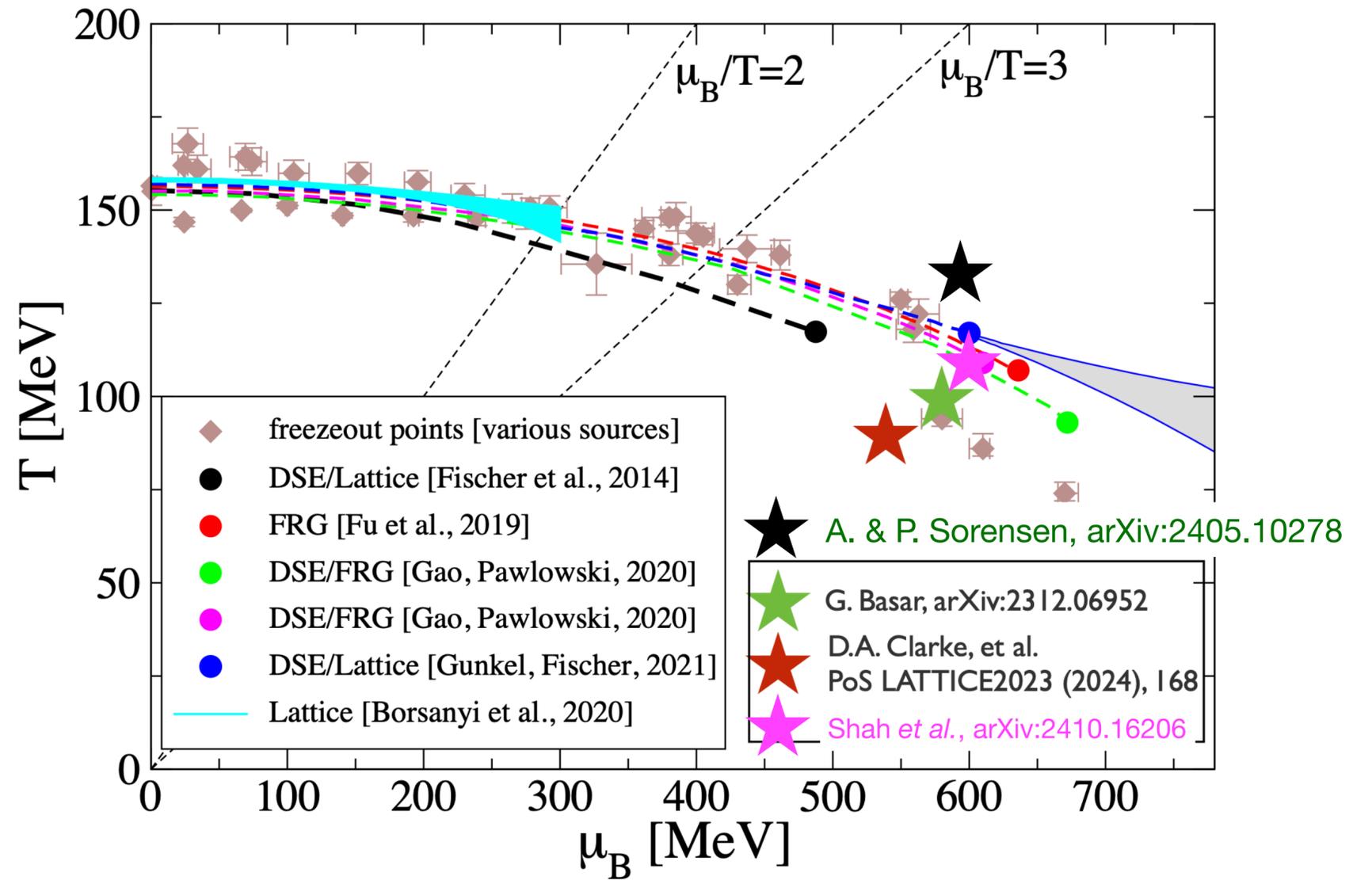
$$\mu_{B,c} = 580 \pm 30 \text{ MeV}$$

# Summary

- New data from BES-II is here and/or imminent: see the next talk by Xin Dong
- Multiple studies **point to QCD CP in region of the phase diagram probed by BES FXT** ( $\sqrt{s_{NN}} \approx 4.5$  GeV)



A. Sorensen *et al.*, Prog. Part. Nucl. Phys. **134**, 104080 (2024)  
arXiv:2301.13253



Thank you for your attention